

PRESIDENT, Steve Okazaki
VICE PRESIDENT, Kathy Zimmerman
SECRETARY, Tom Lewis



TREASURER, Larry Dixon
DIRECTOR, Colby Riley
GENERAL MANAGER, Brian R. Stahl

**REGULAR MEETING
ROCKWOOD WATER PEOPLE'S UTILITY DISTRICT
BOARD OF DIRECTORS**

October 28, 2020

6:00 p.m.

Zoom Web Videoconference/Teleconference

Please copy the link below and paste into your web browser to join the webinar:
<https://us02web.zoom.us/j/83649410307?pwd=a2xITXBQXBZb3NrQzJkdMzuVHlNQQT09>

Passcode: 474186

Or Phone: +1-253-215-8782

Webinar ID: 836 4941 0307 - Passcode: 474186

PRELIMINARY AGENDA

1. Approval of Agenda
2. Approval of Consent Agenda - Action
 - a. General Manager's Report - GM Stahl
 - b. Superintendent's Report - DS Crocker
 - c. Customer Service Report - OS Middleton
 - d. Engineer's Report - DE Hudson
 - e. Government Affairs Activities - GAC Aden
 - f. Financial Status - September 30, 2020
3. Approval of Minutes - September 23, 2020 Regular Board Meeting - Action
4. Approval of Bills - SA Zimmerman - Action
5. Public Comment on Non-Agenda Items
6. SDIS 2020/2021 Best Practices Survey - Review and Approve
7. WIFIA Loan Wrap Up - Discussion
8. For the Good of the Order
9. Executive Session ORS 192.660 (2)
 - (a) To consider the employment of a public officer, employee, staff member or individual agent.
10. Action on Executive Session (if necessary)
11. Next Meeting - November 18, 2020 - Regular Board Meeting - 6:00 p.m.
12. Adjournment

The meeting location is wheelchair accessible. Requests for a sign language interpreter should be made as soon as possible or at least 5 days prior to the meeting. Requests for language interpretation should be made at least 2 days in advance of the meeting. Requests can be made by calling 503.665.4179.

MEMORANDUM

To: Board of Directors

From: Brian R. Stahl, General Manager

Date: October 20, 2020

Re: Manager's Report - October 28, 2020 Board Meeting

1. Production, project, financial, fiduciary, engineering and government affairs reports have been completed by staff and are provided.
2. Cascade 8 at 141st Avenue, finally made it into the SGA at approximately 1,016 feet below ground surface. Hurray! Holt had successfully cased and sealed the well down to 1,000 feet. Now that the depth to the SGA has been confirmed, the well will be drilled another 200 feet at which time the well screen and filter pack will be installed and full development of groundwater production proceeding afterwards. The target yield goal remains at 2-4 mgd. The problems of drill bit failure continue at Cascade 9 (Kirk Park). The driller is continuing the effort to recover their tool at their cost.
3. The District has reinitiated service shutoff on October 1 due to non-payment of outstanding balances. The shutoffs are only occurring if a customer refuses to communicate with the District to either pursue assistance with Human Solutions (to whom the District contributes), pay the current amount owed, and/or establish a payment plan. Thus far the response has been pretty good with only 10-15 shutoffs occurring each week.
4. The Inter-Governmental Agreement No. 310774 between the District and the City of Gresham for the implementation of the 2020 Groundwater Development Master Plan has been fully authorized. This is a milestone agreement which will set the path of groundwater development into the future for both agencies. The IGA recognizes the project lists, schedules, and cost commitments by both agencies. The next effort will be the creation of an agreed upon 2020 Asset Registry which will establish the values of built assets by both utilities for future buy-in consideration.
5. The WIFIA loan Letter of Interest [LOI] has been submitted by the due date of October 15. The total project amounts to \$119,961,489 in joint investments with the WIFIA loan covering approximately \$58,781,130 (49%). The District total of the entire project is \$57,420,000. The US-EPA will now have 90 days to review all LOIs received, then notify successful applicants to submit an application for the loan. Should the District and Gresham be successful in receiving the notice to submit, the cost to each agency for the submittal process will amount to approximately \$750,000 to \$850,000 payment to EPA for their review, contract negotiation and loan preparation. This cost can be added to the WIFIA loan by each agency.

Any questions, please don't hesitate to call. Looking forward to Zooming everyone on the 28th.

MEMORANDUM

To: Board of Directors

From: Andy Crocker, District Superintendent

Date: October 21, 2020

Re: Operations Update

Crews responded to 4 leak repairs, 3 of which were emergencies. We installed several services and fire services last month as a couple of large projects were ready for them. During the hazardous air quality and smoky conditions, we pulled the field staff off nonessential work. Postponing some of the job schedules was necessary; fortunately, many of the projects were in holding as well. We have started pressure washing and painting fire hydrants, meter box replacements and NR meter change outs as time allows. We have received one of the smaller Ford Transit utility vans in our vehicle replacement plan and anticipate taking possession of the new forklift in the next couple of weeks.

The District recently had two employees take advantage of advancement opportunities at other agencies. We wish them well in their decision to depart the District and pursue their career in water. Currently, we are recruiting to fill the vacant Meter Reader and Utility Worker I positions. We have started the interview process and hope to have selections made by the end of next week.

Seasonal peaking is over. Operations did a fantastic job managing our system and running the groundwater system. It was another successful year keeping the District out of any peaking scenarios, which allow us to continue with the best wholesale rates from Portland. Job well done! We did conduct a capacity test on the groundwater system and quickly realized some work will need to be done with the controls in order to fully operate to capacity. There was a very small leak in one of the sodium hypochlorite storage tanks, so it was taken out of service. The tank's service life is relatively short (5 to 8 years), and the current tanks were replaced in 2014. Operations has ordered two new replacement tanks which will bridge us until our GWMP is fully implemented.

We saw a significant drop in water demand starting in the middle of September. Our average daily demand during August was 8.35 mgd which dropped to 7.22 mgd in September. Even though we have seen a sharp drop in demand, September 2020 is almost one mgd higher than September 2019. I expect to see a decline in demand as the cooler fall temperatures and rain roll in.

| FIELD PRODUCTION REPORT FY 2020/2021 | | | | | | | | | | | | | |
|--------------------------------------|------|--------|-------|------|------|------|------|------|-------|-------|-----|------|--|
| | JULY | AUGUST | SEPT. | OCT. | NOV. | DEC. | JAN. | FEB. | MARCH | APRIL | MAY | JUNE | |
| ACTIVITY | | | | | | | | | | | | | |
| Leak Repairs | 2 | 1 | 1 | | | | | | | | | | |
| YTD | 2 | 3 | 4 | | | | | | | | | | |
| CCF Loss | 220 | 110 | 110 | | | | | | | | | | |
| Emergency repairs | 4 | 5 | 3 | | | | | | | | | | |
| YTD | 4 | 9 | 12 | | | | | | | | | | |
| CCF Loss | 772 | 965 | 579 | | | | | | | | | | |
| Utility Locates | 319 | 332 | 320 | | | | | | | | | | |
| YTD | 319 | 651 | 971 | | | | | | | | | | |
| Meter Replacements | 10 | 7 | 27 | | | | | | | | | | |
| YTD | 10 | 17 | 44 | | | | | | | | | | |
| Water Service Installs | 5 | 6 | 6 | | | | | | | | | | |
| YTD | 5 | 11 | 17 | | | | | | | | | | |
| Fire Service Installs | 4 | 4 | 1 | | | | | | | | | | |
| YTD | 4 | 8 | 9 | | | | | | | | | | |
| Fire Hydrant repairs | 3 | 2 | 2 | | | | | | | | | | |
| YTD | 3 | 5 | 7 | | | | | | | | | | |
| Vault/Backflow inspections | 6 | 3 | 4 | | | | | | | | | | |
| YTD | 6 | 9 | 13 | | | | | | | | | | |
| Meter Boxes Repl. | 4 | 2 | 9 | | | | | | | | | | |
| YTD | 4 | 6 | 15 | | | | | | | | | | |
| Emergency calls | 3 | 4 | 3 | | | | | | | | | | |
| YTD | 3 | 7 | 10 | | | | | | | | | | |



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People's Utility District

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MEMORANDUM

To: Board of Directors

From: Cathy Middleton, Office Supervisor

Date: October 1, 2020

Re: September Monthly Customer Service Production Report

The meter readers read 6,951 meters this month.

Of the 7,098 bills that were sent out this month, 538 of them were sent via e-mail and 4 were estimated.

We mailed out 1,013 late notices and e-mailed 84 for a total of 1,097.

We did not do offs or door hangers this month but will resume this process next month.

Customer assistance was given to 15 customers. We sent 18 customers to collections.

There were 23 maintenance orders done. They consisted of the following:

- 17 were to check high usage, verify read, and check if leaking
- 2 were to shut off/on for repairs
- 1 was to check water pressure
- 2 were to replace or repair a meter box
- 1 was to check the shut off valve

There were 2,564 phone calls and no walk-ins this month. Our doors are still shut to the public.

If you have any questions about this data, or would like to see other information, please let me know.

Customer Service Production Report FY 2020 - 2021

| Function: | Jul | Aug | Sep | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun | Total |
|-------------------|-------|-------|-------|-----|-----|-----|-----|-----|-----|-----|-----|-----|--------|
| Meter Reads | 6,942 | 6,898 | 6,951 | | | | | | | | | | 20,791 |
| Estimates | 9 | 8 | 4 | | | | | | | | | | 21 |
| Bills | 7,126 | 6,947 | 7,098 | | | | | | | | | | 21,171 |
| Late Notices | 1,144 | 1,372 | 1,097 | | | | | | | | | | 3,613 |
| Door Hangers | - | - | - | | | | | | | | | | - |
| Turn Offs | - | - | - | | | | | | | | | | - |
| Final Reads | 27 | 97 | 110 | | | | | | | | | | 234 |
| New Accts | 35 | 107 | 127 | | | | | | | | | | 269 |
| Bill Assistance | 24 | 25 | 15 | | | | | | | | | | 64 |
| Collection Agency | 10 | 15 | 18 | | | | | | | | | | 43 |
| Leak Adjustments | 13 | 21 | 19 | | | | | | | | | | 53 |
| Phone Calls | 2,710 | 2,221 | 2,564 | | | | | | | | | | 7,495 |
| Walk Ins | - | - | - | | | | | | | | | | - |
| Work Orders | 18 | 25 | 23 | | | | | | | | | | 66 |
| Misreads | 13 | 10 | 6 | | | | | | | | | | 29 |
| Found On | - | - | - | | | | | | | | | | - |
| Meters Pulled | - | - | - | | | | | | | | | | - |



MEMORANDUM

To: Board of Directors

From: Jeremy Hudson, PE, District Engineer

Date: October 21, 2020

Re: Engineer's Report: October 28, 2020 Board Meeting

At CW8 the contractor installed the 24" upper borehole casing and sealed the annular space down to 1,000' below ground surface (BGS). They continued to drill, and at 1,020' BGS started to find gravel in the cuttings. They continued to drill until 1,060' BGS and are confident they are in the SGA. This completes the upper borehole. They are currently installing the 20" temporary lower borehole casing and will reach the 1,060' BGS mark at the end of the week. They will then bring in the cable tool rig and start drilling the lower borehole. At CW9 the bit is still at the bottom, approximately 720' BGS. The contractor continues to fish for it, but if unsuccessful by the end of the week, may decide to cement in the bit and mill through it.

The Package 1 designs for the Groundwater Development Master Plan (GDMP) are approaching the 60% design phase. The next review is in mid-November. Package 1 includes the structural updates to Cascade Reservoir 1 (CR1) and the design for CR2, CW7 pump station and transmission main, a CIP steel replacement and a portion of the proposed 36" groundwater transmission main. Construction for CR2 will begin this coming spring, with the remaining Package 1 projects moving to construction soon after.

The groundwater pilot study project will begin in November.

The District's 20-year Water Master Plan Update - This project will determine what Capital Improvement Projects (CIP) are recommended for the District over the next 20 years. The last update was in 2013. The data requested by the engineers has been provided.

The projects for this fiscal year are described below.

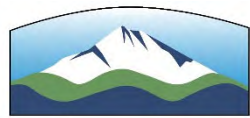
ST6 – This project replaces existing 380 lf of 8" OD lines with 8" DI, on NE Halsey Street, from NE 181st Avenue to the east 380'. This project also includes plugging existing 10" valves on NE Halsey Street at NE 162nd Avenue, and NE 181st Avenue, from a previous removal of an existing 10" OD line.

ST7/ST8 – These projects are located on NE 146th Avenue from NE Glisan Street to E Burnside Street, and NE Everett Street, from NE 146th Avenue to the dead-end to the east. These replace 1,460 lf of 8" OD with 8" DI and 312 lf of 4" OD with 6" DI.

ST19 – This project replaces 1,355 lf of 4" OD lines with 6" DI, on SE Ankeny Street from SE 165th Avenue to SE 167th Avenue, and SE 167th Avenue from SE Ankeny Street to SE Oak Street.

ST20 – Located on SE 154th Avenue between SE Stark Street and E Burnside Street, this project replaces 1,120 lf of 4” OD with 6” DI lines.

ST21 – Located on SE 157th Avenue between SE Stark Street and E Burnside Street, this project replaces 1,102 lf of 4” OD with 6” DI lines.



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MEMORANDUM

To: Board of Directors

From: Kerry Aden, Government Affairs Coordinator

Date: October 20, 2020

Re: Government Affairs Activities

UPCOMING EVENTS/MEETINGS/PROJECTS

- February 4-7, 2021 - SDAO Annual Conference - Remote/Teleconference. Statement from SDAO: "In the interest of the health and safety of our members, sponsors, exhibitors and staff, it is with great disappointment that we announce the difficult decision to cancel the in-person trainings and activities of the 2021 SDAO Annual Conference. We will instead be holding the conference as a virtual event. This decision was not made lightly but one that included our management staff and board of directors." While we will not be able to meet with you face-to-face in February, we are committed to continuing to offer exceptional conference programming through important trainings, networking opportunities, and vendor interaction. Please watch for emails and newsletter articles with updates about the conference.
- February 25, May 20, August 12, and October 28, 2021 - PNWS/AWWA Annual Conference Virtual Quarterly Conference Event. More information to be announced.
- Tuesday, April 27, 2021 - Children's Clean Water Festival - 8:00 a.m. - 2:00 p.m. - PCC Sylvania Campus, 12000 SW 49th Ave, Portland, OR 97219. This event is scheduled, but tentative dependent upon COVID-19 prevention guidelines.
- June 13 - 16, 2021 - AWWA Annual Conference and Exposition - San Diego, California and virtual. Statement from AWWA: "Inform, influence and impact your industry by reconnecting with your peers in-person or online at the 2021 AWWA Annual Conference & Exposition (ACE21). The need for water professionals to come together in support of each other and the public health has never been greater. ACE21 plans to reflect that spirit with solution-focused educational sessions, hands-on professional development training and opportunities to engage and share with colleagues from around the world."

UPDATES

- I meet with Pavelcomm on September 29th to discuss our computer replacements and projects for this fiscal year's information technology equipment replacement plan. We will be replacing three laptops; all of which are earmarked for reuse. We also discussed renewal of our contract which expires at the end of May.
- Attendance at all community events are suspended until further notice due to the pandemic.
- The Special District's Insurance Services (SDIS) Best Practices Program to receive our 10% discount on the District's insurance is complete and included in this packet for Board review and approval.
- The newsletter has been printed and will be distributed November 7 - December 28, 2020. A copy is also posted to our website.



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MEMORANDUM

To: Board of Directors

From: Brian R. Stahl, General Manager

Date: October 28, 2020

Re: Finance/Budget Report - September 2020

The Finance/Budget Report is provided below and on the attached spreadsheet. The numbers provide the general conditions of the District. As more data is accumulated through the year, better estimates will be made and course corrections implemented, if needed.

- Water sales revenues at the end of September 2020 (Period 3) minus payments received from Gresham showed another significant increase at **14.8%** when compared to Period 3 in September 2019. Water sales were better given the 12.5% rate adjustment on July 1, 2020 and seemingly increased demand. The District continues to track both the customers, types of accounts, and accumulating debt related to non-shutoff during the pandemic. The District has notified customers with outstanding balances to contact the District to make payment or set up payment plans. The District has once again initiated shutoffs for customers unwilling to communicate and work with the District.
- Overall revenue through Period 3 increased by **7.3%** compared to the same period in 2019/2020 driven primarily by Water Sales once the groundwater payment from the City of Gresham for Kirk Park Cascade 9 drilling is removed. Late fees continue to be down considerably given the continuation of the pandemic. Service installations are also off as development requests remain sluggish.
- Personal Services and Total Operations & Maintenance show a normal use pattern for this time of year.

FISCAL YEAR 2020-21**SEPTEMBER, 2020****REVENUES**

| Category | | <u>Budget</u> | <u>September 2020</u> | <u>September 2019</u> | <u>Variance</u> | <u>Year To Date</u> | <u>Projection</u> | <u>Balance of FY 19-20</u> |
|--|-------------------------|-------------------|-----------------------|-----------------------|-----------------|---------------------|-------------------|----------------------------|
| 100.3-01-0101 | Beginning Fund Balance | 9,130,922 | | | | | 9,130,922 | |
| 100.3-10 | Water Sales | 9,690,517 | 753,305 | 607,011 | 0 | 2,590,521 | 9,131,990 | 6,541,469 |
| 100.3-20 | Fees & Special Charges | 313,000 | 14,945 | 30,964 | (1) | 46,113 | 270,752 | 224,639 |
| 100.3-40 | Charges for Service | 400,000 | 62,134 | 93,733 | (0) | 81,009 | 478,793 | 397,785 |
| 100.3-50 | Investment Income | 299,780 | 11,115 | 23,909 | (1) | 39,642 | 220,785 | 181,142 |
| 100.3-60 | Other Income | 580,660 | 40,109 | 38,260 | 0 | 125,183 | 521,116 | 395,933 |
| 100.3-90 | Other Financing Sources | 1,591,828 | 187,001 | - | | 579,908 | 945,158 | 365,250 |
| Total Revenue Budget | | 22,006,707 | 1,068,610 | 793,878 | | 3,462,376 | 20,699,515 | 8,106,218 |
| Annual Running Revenue (Includes Codes 100.3-10 thru -90) | | 12,875,785 | 1,068,610 | 793,878 | 0 | 3,462,376 | | |

Assumptions: Projections are based on the balance of prior year's actual revenue plus current revenue to date.

EXPENDITURES

| | | <u>Budget</u> | <u>September 2020</u> | <u>Total To Date</u> | <u>% Expended</u> |
|--|------------------------|-------------------|-----------------------|----------------------|-------------------|
| 5.10-4000 | Personnel Services | 3,326,490 | 259,273 | 756,611 | 23% |
| 5.20-5000 | Material & Services | 2,023,201 | 63,802 | 283,157 | 14% |
| | Water Purchase | 3,142,547 | 223,383 | 714,344 | 23% |
| 5.40-4000 | Capital Outlay | 3,480,062 | 138,220 | 579,426 | 17% |
| 5.60-7000 | Transfers | 2,583,000 | - | 466,850 | 18% |
| 5.70-7000 | Contingency | 1,400,000 | - | | |
| 5.90-8000 | Unappropriated Balance | 6,051,407 | - | | |
| Total Expense Budget | | 22,006,707 | 684,678 | 2,800,388 | |
| Annual Running Expense (Includes Codes 5.1 - 5.4) | | 11,972,300 | 684,678 | 2,333,538 | (70.7) |

**ROCKWOOD WATER PEOPLE'S UTILITY DISTRICT
MINUTES, REGULAR MEETING OF THE BOARD**

September 23, 2020

**Rockwood Water Boardroom and
Zoom Video Conference**

Board members present: Larry Dixon, Tom Lewis, Steve Okazaki, Colby Riley (via Zoom video conference), Kathy Zimmerman (via Zoom video conference).

Staff present: Brian R. Stahl, General Manager (GM); Andy Crocker, District Superintendent (DS); Cathy Middleton, Office Supervisor (OS); Jeremy Hudson, PE, District Engineer (DE); Joey Schlosser, Assistant Superintendent (AS) (via Zoom video conference); Daniel Zimmerman, Senior Accountant (SA); Kerry Aden, Government Affairs Coordinator (GAC), Jeremy Hanson, Associate Engineer (AE).

Guests present: None.

President Steve Okazaki called the meeting to order at 6:12 p.m.

APPROVAL OF THE AGENDA

President Okazaki asked if there were any changes or additions to the agenda.

Colby Riley moved to approve the agenda as corrected. Tom Lewis seconded the motion. The motion was approved; none opposed.

APPROVAL OF THE CONSENT AGENDA

President Okazaki asked if there were any changes or additions to the consent agenda.

Tom Lewis moved to approve the consent agenda. Kathy Zimmerman seconded the motion. The motion was approved; none opposed.

APPROVAL OF MINUTES

President Okazaki asked if there were any changes to the minutes.

Colby Riley moved to approve the minutes from the August 26, 2020 regular Board meeting. Tom Lewis seconded the motion. The motion was approved; none opposed.

Larry Dixon moved to approve the minutes from the September 10, 2020 work session. Kathy Zimmerman seconded the motion. The motion was approved; none opposed.

APPROVAL OF BILLS

President Okazaki asked if there were any questions about the bills.

Larry Dixon asked about page 33, check #49202, Replace Battery in Backup. **GAC Aden** answered the item is to replace the failed corporate server backup power supply.

Larry Dixon inquired about page 34, check #49205, Halsey Pumping. **DS Crocker** replied the item is the cost of the electricity to pump water for Cascade Well #5 during the summer peaking season. Discussion ensued.

Larry Dixon asked about page 38, check #49268, Wellfield Protection Program. **GM Stahl** answered the item is the District's portion of the Wellfield Protection Program administered by the City of Gresham. Discussion ensued.

Kathy Zimmerman noted a high number of refunds, including to the Department of State Lands and asked if that item is related to refunds. **GM Stahl** confirmed the item is related to refunds.

Kathy Zimmerman inquired about page 37, check #49250, Damaged Tire. **DS Crocker** answered the item is to replace a citizen's tire damaged by a broken valve box. Discussion ensued.

Kathy Zimmerman asked about page 43, check #49345, AJ Traffic Citation. **GM Stahl** answered the item is for an employee's red light camera citation. The District paid the citation, and the employee reimbursed the District. Discussion ensued.

Tom Lewis moved to approve the bills as presented. **Kathy Zimmerman** seconded the motion. The motion was approved; none opposed.

PUBLIC COMMENT ON NON-AGENDA ITEMS

There were no public comments.

INTERGOVERNMENTAL AGREEMENT (IGA) WITH CITY OF GRESHAM ON GROUNDWATER DEVELOPMENT MASTER PLAN IMPLEMENTATION - ACTION

GM Stahl gave an overview of the IGA.

Larry Dixon moved to adopt the IGA with the City of Gresham on Groundwater Development Master Plan Implementation. **Tom Lewis** seconded the motion. The motion was approved; none opposed.

SURPLUS EQUIPMENT

DS Crocker went over the items the District would like to declare surplus. Discussion ensued.

Tom Lewis moved to declare the discussed items as surplus and dispose of them in accordance with established sale or destruction processes. **Colby Riley** seconded the motion. The motion was approved; none opposed.

FY 2020/2021 BOARD CONFERENCE TRAVEL APPROVAL - GM STAHL - DISCUSSION

GM Stahl noted, due to the COVID-19 pandemic, most conferences and training will be held remotely and suggested, if a Board member has an interest in attending a conference, training, or webinar, they contact staff and we will make the arrangements. Discussion ensued.

GENERAL MANAGER RETIREMENT PLANNING - GAC ADEN - DISCUSSION/ACTION

GAC Aden requested permission from the Board to allow staff to plan a retirement event for **GM Stahl**. Discussion ensued.

Tom Lewis made a motion to authorize staff to plan a retirement event for **GM Stahl** as discussed. **Colby Riley** seconded the motion. The motion was approved; none opposed.

FOR THE GOOD OF THE ORDER

GM Stahl provided and update on Cascade Wells #7, #8, and #9.

GM Stahl noted the District and the City of Gresham are finalizing the WIFIA loan letter of intent materials to submit by October 15, 2020.

Tom Lewis reported there is an opening on a Portland citizens' advisory group and will keep the group updated as he receives more information or if anyone is interested in applying.

EXECUTIVE SESSION ORS 192.660 (2)

Larry Dixon made a motion to suspend the regular Board meeting and go into executive session in accordance with ORS 192.660 (2) (a) to consider the employment of a public officer, employee, staff member or individual agent. **Tom Lewis** seconded the motion. The motion was approved; none opposed.

The Board recessed into executive session at 6:50 p.m.

President Okazaki reconvened the Board meeting at 7:33 p.m.

ACTION ON EXECUTIVE SESSION (IF NECESSARY)

There was no action on executive session.

NEXT MEETING

President Okazaki reminded everyone the next Board meeting will be Wednesday, October 28, 2020 at 6:00 p.m. via Zoom teleconference.

ADJOURNMENT

Larry Dixon made a motion to adjourn the meeting. **Tom Lewis** seconded the motion. The motion was approved; none opposed.

The meeting was adjourned at 7:36 p.m.

Secretary



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MEMORANDUM

To: Board of Directors

From: Daniel Zimmerman, Senior Accountant

Date: October 9, 2020

Re: Checklist and Financial Report

Enclosed is the checklist for your review. The total amount of the checks listed for your approval is \$811,818.62. If you have any questions regarding any of the checks listed, please don't hesitate to call Brian or myself anytime.

The cash balances at September 30, 2020 are as follows:

Restricted Cash - \$1,859,634.19
Unrestricted Cash - \$7,758,552.04



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MONTHLY FINANCIAL MONITORING CHECKLIST

BOARD OF DIRECTORS MEETING: 10/28/2020

FOR THE PERIOD **September 2020**

- | | |
|---|-----|
| 1. Were books balanced and reconciled (General & Trust)? If no, why not? | Yes |
| 2. Are YTD revenues and expenditures tracking per budget plan? If no, explain variances or flags. | Yes |
| 3. Were all payroll liabilities paid in a timely manner? If no, explain why not. | Yes |
| 4. Were required payroll reports (Federal, State) filed in a timely manner? If no, explain why not. | Yes |
| 5. Were any cases of fraud detected and addressed? If yes, explain situation and actions taken and underway. | No |
| 6. Were any changes made to the internal control system? If yes, describe changes. | No |
| 7. Were all cash and investment accounts reconciled per schedule (LGIP)? If no, explain why not. | Yes |

10/09/20

ROCKWOOD WATER P.U.D.
ROLLING A/P REGISTER
BANK 10

PAGE 1

FROM 9/11/20 TO 99/99/99

| DATE | CHECK # | VEN/EMP # | VENDOR/EMPLOYEE NAME | AMOUNT | DESCRIPTION |
|---------|-------------------|-----------|----------------------|----------|-------------|
| 10 | UMPQUA BK-GENERAL | | | | |
| 9/16/20 | 14570 | 46 | HUDSON C | 150.00 | PAYROLL |
| 9/17/20 | 14571 | 64 | DIXON G | 46.12 | PAYROLL |
| 9/17/20 | 14572 | 63 | LEWIS W | 46.12 | PAYROLL |
| 9/17/20 | 14573 | 66 | OKAZAKI R | 46.12 | PAYROLL |
| 9/17/20 | 14574 | 77 | RILEY E | 46.12 | PAYROLL |
| 9/17/20 | 14575 | 78 | ZIMMERMAN A | 46.12 | PAYROLL |
| 9/18/20 | 14576 | 49 | ADEN L | 2,590.43 | PAYROLL |
| 9/18/20 | 14577 | 40 | ALLEN L | 2,434.69 | PAYROLL |
| 9/18/20 | 14578 | 85 | ARANGO M | 1,359.79 | PAYROLL |
| 9/18/20 | 14579 | 81 | BRAY R | 1,657.43 | PAYROLL |
| 9/18/20 | 14580 | 47 | BREEN M | 2,669.00 | PAYROLL |
| 9/18/20 | 14581 | 72 | CONNER M | 1,397.13 | PAYROLL |
| 9/18/20 | 14582 | 35 | CROCKER D | 3,285.54 | PAYROLL |
| 9/18/20 | 14583 | 84 | HANSON G | 2,492.89 | PAYROLL |
| 9/18/20 | 14584 | 46 | HUDSON C | 3,163.88 | PAYROLL |
| 9/18/20 | 14585 | 51 | HUNT L | 2,161.74 | PAYROLL |
| 9/18/20 | 14586 | 56 | JACOBSON E | 1,872.98 | PAYROLL |
| 9/18/20 | 14587 | 42 | JONES W | 2,132.41 | PAYROLL |
| 9/18/20 | 14588 | 82 | JORDAN S | 1,266.42 | PAYROLL |
| 9/18/20 | 14589 | 74 | KIPP S | 2,138.26 | PAYROLL |
| 9/18/20 | 14590 | 80 | MAGNUSON T | 1,958.13 | PAYROLL |
| 9/18/20 | 14591 | 34 | MCNEIL J | 2,097.77 | PAYROLL |
| 9/18/20 | 14592 | 8 | MIDDLETON C | 2,440.92 | PAYROLL |
| 9/18/20 | 14593 | 76 | PACHECO A | 1,657.81 | PAYROLL |
| 9/18/20 | 14594 | 68 | PELAYO M | 1,680.85 | PAYROLL |
| 9/18/20 | 14595 | 41 | SCHLOSSER L | 2,814.79 | PAYROLL |
| 9/18/20 | 14596 | 7 | SCHMUNK A | 1,773.02 | PAYROLL |

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|------------|------------------------------|-----------|----------------------|---|----------|-------------|
| 9/18/20 | 14597 | 69 | STAHL | R | 3,698.68 | PAYROLL |
| 9/18/20 | 14598 | 73 | STROH | N | 1,519.32 | PAYROLL |
| 9/18/20 | 14599 | 48 | TOMPKINS | L | 1,362.86 | PAYROLL |
| 9/18/20 | 14600 | 75 | ZIMMERMAN | J | 2,335.68 | PAYROLL |
| 9/25/20 | 14601 | 85 | ARANGO | M | 1,848.47 | PAYROLL |
| 9/24/20 | 14602 | 64 | DIXON | G | 46.12 | PAYROLL |
| 9/24/20 | 14603 | 63 | LEWIS | W | 92.25 | PAYROLL |
| 9/24/20 | 14604 | 66 | OKAZAKI | R | 46.12 | PAYROLL |
| 9/24/20 | 14605 | 77 | RILEY | E | 46.12 | PAYROLL |
| 9/24/20 | 14606 | 78 | ZIMMERMAN | A | 46.12 | PAYROLL |
| 10/02/20 | 14607 | 49 | ADEN | L | 2,590.43 | PAYROLL |
| 10/02/20 | 14608 | 40 | ALLEN | L | 2,296.02 | PAYROLL |
| 10/02/20 | 14609 | 81 | BRAY | R | 1,802.38 | PAYROLL |
| 10/02/20 | 14610 | 47 | BREEN | M | 2,555.23 | PAYROLL |
| 10/02/20 | 14611 | 72 | CONNER | M | 1,397.13 | PAYROLL |
| 10/02/20 | 14612 | 35 | CROCKER | D | 3,285.54 | PAYROLL |
| 10/02/20 | 14613 | 84 | HANSON | G | 2,492.89 | PAYROLL |
| 10/02/20 | 14614 | 46 | HUDSON | C | 3,352.97 | PAYROLL |
| 10/02/20 | 14615 | 51 | HUNT | L | 1,984.45 | PAYROLL |
| 10/02/20 | 14616 | 56 | JACOBSON | E | 1,872.98 | PAYROLL |
| 10/02/20 | 14617 | 42 | JONES | W | 2,425.74 | PAYROLL |
| 10/02/20 | 14619 | 74 | KIPP | S | 2,047.51 | PAYROLL |
| 10/02/20 | 14620 | 80 | MAGNUSON | T | 1,698.69 | PAYROLL |
| 10/02/20 | 14621 | 34 | MCNEIL | J | 2,097.77 | PAYROLL |
| 10/02/20 | 14622 | 8 | MIDDLETON | C | 3,100.16 | PAYROLL |
| 10/02/20 | 14623 | 76 | PACHECO | A | 1,657.81 | PAYROLL |
| 10/02/20 | 14624 | 68 | PELAYO | M | 1,799.63 | PAYROLL |

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|------------|------------------------------|-----------|--------------------------------|------------|--------------------------------------|
| 10/02/20 | 14625 | 41 | SCHLOSSER | L 2,814.79 | PAYROLL |
| 10/02/20 | 14626 | 7 | SCHMUNK | A 1,773.02 | PAYROLL |
| 10/02/20 | 14627 | 69 | STAHL | R 3,722.39 | PAYROLL |
| 10/02/20 | 14628 | 73 | STROH | N 1,519.32 | PAYROLL |
| 10/02/20 | 14629 | 48 | TOMPKINS | L 1,362.86 | PAYROLL |
| 10/02/20 | 14630 | 75 | ZIMMERMAN | J 2,335.68 | PAYROLL |
| 10/02/20 | 14631 | 47 | BREEN | M 150.00 | PAYROLL |
| 10/02/20 | 14632 | 82 | JORDAN | S 1,436.42 | PAYROLL |
| 10/08/20 | 14634 | 80 | MAGNUSON | T 1,951.94 | PAYROLL |
| 9/17/20 | 24983 | 736 | UMPQUA EASY TAX | 20,231.60 | |
| | | | | 11,710.50 | 101-100-6202210 FICA PAYROLL TAX |
| | | | | 38.30 | 101-100-6202210 BRD FICA PAYROLL TAX |
| | | | | 8,482.80 | 101-100-6202220 FEDERAL PAYROLL TAX |
| 9/17/20 | 24984 | 1235 | POINT & PAY | 3,404.68 | |
| | | | | 3,404.68 | 101-100-5205740 ONLINE PAYMENTS FEES |
| 9/17/20 | 24985 | 24 | ICMA RETIREMENT TRUST-457 | 540.00 | |
| | | | | 540.00 | 101-100-6202150 PAYROLL DEDUCTION |
| 9/17/20 | 24986 | 16 | NATIONWIDE RETIREMENT SOLUTION | 1,700.00 | |
| | | | | 1,700.00 | 101-100-6202150 PAYROLL DEDUCTION |
| 9/17/20 | 24987 | 17 | OREGON DEPARTMENT OF REVENUE | 4,982.32 | |
| | | | | 4,982.32 | 101-100-6202230 STATE PAYROLL TAX |
| 9/17/20 | 24988 | 1211 | HRA VEBA PLAN CONTRIBUTIONS | 1,280.00 | |
| | | | | 1,280.00 | 101-100-6202150 PAYROLL DEDUCTION |
| 9/17/20 | 24989 | 733 | UMPQUA BANK | 721.61 | |
| | | | | 721.61 | 101-100-5205740 AUG BANK FEES |
| 9/24/20 | 24990 | 18 | PERS | 38,292.84 | |
| | | | | 19,092.87 | 101-100-6202110 9/18 PERS PAYABLE |
| | | | | 19,199.97 | 101-100-6202110 8/21 PERS PAYABLE |
| 9/24/20 | 24991 | 736 | UMPQUA EASY TAX | 840.18 | |
| | | | | 45.94 | 101-100-6202210 BRD PAYROLL FICA TAX |
| | | | | 405.40 | 101-100-6202210 PAYROLL FICA TAX |
| | | | | 388.84 | 101-100-6202220 PAYROLL FEDERAL TAX |
| 9/24/20 | 24992 | 17 | OREGON DEPARTMENT OF REVENUE | 174.67 | |
| | | | | 174.67 | 101-100-6202230 STATE PAYROLL TAX |

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| 10 | UMPQUA BK-GENERAL | | | | |
| 9/28/20 | 24993 | 1223 | OREGON DEPT OF REVENUE | 4,306.17 | |
| | | | | 476.00 | 101-100-5104820 3RD QUARTER PAYMENT |
| | | | | 3,563.71 | 101-100-5104830 3RD QUARTER PAYMENT |
| | | | | 266.46 | 101-100-5104840 3RD QUARTER PAYMENT |
| 9/28/20 | 24994 | 17 | OREGON DEPARTMENT OF REVENUE | 460.65 | |
| | | | | 460.65 | 101-100-6202260 3RD QTR TRANSIT TAX PAYMENT |
| 10/01/20 | 24995 | 16 | NATIONWIDE RETIREMENT SOLUTION | 1,740.00 | |
| | | | | 1,740.00 | 101-100-6202150 PAYROLL DEDUCTION |
| 10/01/20 | 24996 | 24 | ICMA RETIREMENT TRUST-457 | 540.00 | |
| | | | | 540.00 | 101-100-6202150 PAYROLL DEDUCTION |
| 10/01/20 | 24997 | 1211 | HRA VEBA PLAN CONTRIBUTIONS | 1,280.00 | |
| | | | | 1,280.00 | 101-100-6202150 PAYROLL DEDUCTION |
| 10/01/20 | 24998 | 736 | UMPQUA EASY TAX | 19,093.24 | |
| | | | | 11,381.84 | 101-100-6202210 FICA PAYROLL TAX |
| | | | | 7,711.40 | 101-100-6202220 FEDERAL PAYROLL TAX |
| 9/30/20 | 24999 | 733 | UMPQUA BANK | 2,688.49 | |
| | | | | 2,543.77 | 101-100-5205760 SEPT RETURN DD'S |
| | | | | 144.72 | 101-100-5205760 SEPT RETURN CHECKS |
| 10/01/20 | 25001 | 17 | OREGON DEPARTMENT OF REVENUE | 4,785.16 | |
| | | | | 4,785.16 | 101-100-6202230 STATE PAYROLL TAX |
| 10/06/20 | 25002 | 18 | PERS | 18,955.87 | |
| | | | | 18,955.87 | 101-100-6202110 10/02 PERS PAYABLE |
| 10/06/20 | 25003 | 736 | UMPQUA EASY TAX | 638.50 | |
| | | | | 397.14 | 101-100-6202210 FICA PAYROLL TAX |
| | | | | 241.36 | 101-100-6202220 FEDERAL PAYROLL TAX |
| 10/06/20 | 25004 | 17 | OREGON DEPARTMENT OF REVENUE | 170.87 | |
| | | | | 170.87 | 101-100-6202230 STATE PAYROLL TAX |
| 9/17/20 | 49349 | 442 | ADVANCED AUTO PARTS | 26.19 | |
| | | | | 26.19 | 101-100-5205560 DEF FLUID FOR DUMP TRUCKS |
| 9/17/20 | 49350 | 1224 | AT&T MOBILITY | 1,436.90 | |
| | | | | 1,436.90 | 101-100-5205210 CELL PHONES |
| 9/17/20 | 49351 | 5 | BACKFLOW MANAGEMENT, INC. | 190.00 | |
| | | | | 60.00 | 101-100-5205450 DB ADVANCED MATH CLASS |
| | | | | 130.00 | 101-100-5205450 DB CC SPECIALIST UPDATE CLASS |
| 9/17/20 | 49352 | 21912 | BRAZZLE, ALISHA | 100.00 | |
| | | | | 100.00 | 101-100-5205410 2 TOILET REBATES |
| 9/17/20 | 49353 | 1 | CHAVES CONSULTING, INC. | 766.01 | |

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| 10 | UMPQUA BK-GENERAL | | | | |
| | | | | 112.34 | 101-100-5205110 PRINT BACKFLOW REMINDER LETTERS |
| | | | | 516.67 | 101-100-5205110 AUG TECH/CONSULTING |
| | | | | 137.00 | 101-100-5205330 POSTAGE BACKFLOW REMINDER LETTERS |
| 9/17/20 | 49354 | 637 | COMCAST CABLE | 226.70 | |
| | | | | 113.35 | 101-100-5205660 NW 1ST INTERNET |
| | | | | 113.35 | 101-100-5205660 192ND/DIVISION INTERNET |
| 9/17/20 | 49355 | 66 | CONSOLIDATED SUPPLY COMPANY | 14,288.75 | |
| | | | | 1,247.02 | 101-100-5205610 UTILITY OPERATING SUPPLY |
| | | | | 2,113.35 | 101-100-5406010 SD674-676 MEGA LUGS, PIPE |
| | | | | 6,313.09 | 101-100-5406020 NS677-680 MEGA LUGS, PIPE, SLEEVES |
| | | | | 3,834.49 | 101-100-5406020 NS670-673 TUBE, COILS, LEADS, BUSHNG |
| | | | | 780.80 | 101-100-5406020 NS655 MEGALUGS |
| 9/17/20 | 49356 | 607 | FERGUSON WATERWORKS #3011 | 7,079.99 | |
| | | | | 2,570.88 | 101-100-5205610 HARD COPPER TUBE, GATE VALVES |
| | | | | 197.78 | 101-100-5406020 NS681 HARD COPPER TUBE |
| | | | | 3,396.69 | 101-100-5406020 NS670-672 SADDLES, METER SETTERS |
| | | | | 914.64 | 101-100-5406020 NS681 COPPER TUBE, GATE VALVES |
| 9/17/20 | 49357 | 427 | GRAINGER | 18.60 | |
| | | | | 18.60 | 101-100-5205520 SHOP AIR FILTERS, TRUCK SCRUB BRUSH |
| 9/17/20 | 49358 | 813 | GSI WATER SOLUTIONS, INC | 12,118.75 | |
| | | | | 1,156.25 | 101-100-5406010 JOB 300 CASCADE #7 PROF SERVICES |
| | | | | 8,810.00 | 101-100-5406010 JOB 307 CASCADE #8 PROF SERVICES |
| | | | | 2,152.50 | 101-100-5406010 JOB 309 CASCADE #9 PROF SERVICES |
| 9/17/20 | 49359 | 1137 | JW UNDERGROUND INC | 3,861.00 | |
| | | | | 384.00 | 101-100-5205610 MISC ROCK/DUMP FEES |
| | | | | 3,285.00 | 101-100-5406010 JOB 307 CASCADE #8 ROCK/DUMP FEES |
| | | | | 96.00 | 101-100-5406020 NS673 ROCK/DUMP FEES |
| | | | | 96.00 | 101-100-5406020 NS686 ROCK/DUMP FEES |
| 9/17/20 | 49360 | 15 | KAISER PERMANENTE | 15,770.65 | |
| | | | | 15,770.65 | 101-100-6202107 EMPL MED/DENTAL INSURANCE |
| 9/17/20 | 49361 | 1184 | KIPP, CHRIS | 57.50 | |
| | | | | 57.50 | 101-100-5104600 ON CALL MILEAGE |
| 9/17/20 | 49362 | 753 | KNIFE RIVER CORPORATE NW | 1,375.52 | |
| | | | | 459.91 | 101-100-5205610 MISC ROCK/DUMP FEES |
| | | | | 678.48 | 101-100-5406020 NS677-679 ROCK/DUMP FEES |
| | | | | 237.13 | 101-100-5406020 NS680 ROCK/DUMP FEES |
| 9/17/20 | 49363 | 1209 | LOOMIS | 394.35 | |
| | | | | 394.35 | 101-100-5205740 MONTHLY VAULT SERVICE |
| 9/17/20 | 49364 | 577 | MAGELLAN HEALTHCARE | 128.16 | |
| | | | | 128.16 | 101-100-6202109 EMPLOYEE ASSITANCE |
| 9/17/20 | 49365 | 135 | NOLAN'S POINT S | 18.00 | |

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| 10 | UMPQUA BK-GENERAL | | | | |
| | | | | 18.00 | 101-100-5205610 RW46 FLAT REPAIR |
| 9/17/20 | 49366 | 14 | OREGON AFSCME | 461.97 | |
| | | | | 461.97 | 101-100-6202140 PAYROLL DEDUCTION |
| 9/17/20 | 49367 | 1057 | OXARC, INC | 19.45 | |
| | | | | 19.45 | 101-100-5205610 COMPRESSED OXYGEN |
| 9/17/20 | 49368 | 360 | PARKROSE HARDWARE | 97.48 | |
| | | | | 97.48 | 101-100-5205610 PARTS FOR STORAGE ROOM SHELVES |
| 9/17/20 | 49369 | 1124 | PAVELCOMM INC. | 2,812.05 | |
| | | | | 2,812.05 | 101-100-5205110 OCT MONTHLY REMOTE SERVICES |
| 9/17/20 | 49370 | 225 | PORTER W. YETT COMPANY | 2,136.55 | |
| | | | | 437.45 | 101-100-5205610 MISC ASPHALT |
| | | | | 1,043.90 | 101-100-5406010 JOB 312 ST11 ASPHALT |
| | | | | 655.20 | 101-100-5406010 SD674-675 ASPHALT |
| 9/17/20 | 49371 | 181 | PORTLAND GENERAL ELECTRIC | 38,520.99 | |
| | | | | 490.56 | 101-100-5205670 141ST AV PUMPING |
| | | | | 365.28 | 101-100-5205670 14801 SE STARK PUMPING |
| | | | | 563.04 | 101-100-5205670 148TH AV PUMPING |
| | | | | 14,690.89 | 501-100-5205670 HALSEY PUMPING |
| | | | | 22,411.22 | 501-100-5205670 192ND PUMPING |
| 9/17/20 | 49372 | 458 | PREMIER RUBBER LLC | 15.85 | |
| | | | | 15.85 | 101-100-5205540 MX2 DUST CAP FOR HYDRAULIC LINES |
| 9/17/20 | 49373 | 1174 | PURCHASE POWER | 201.00 | |
| | | | | 201.00 | 101-100-5205330 POSTAGE FOR MACHINE |
| 9/17/20 | 49374 | 21523 | PUTNAM, VANCE | 50.00 | |
| | | | | 50.00 | 101-100-5205410 1 TOILET REBATE |
| 9/17/20 | 49375 | 189 | QUILL CORPORATION | 31.43 | |
| | | | | 31.43 | 101-100-5205440 PURELL HAND SANITIZER |
| 9/17/20 | 49376 | 721 | SPECIAL DISTRICTS INSURANCE SE | 355.00 | |
| | | | | 355.00 | 101-100-5205430 ADD DUMP TRUCKS TO INSURANCE |
| 9/17/20 | 49377 | 504 | TRUCKPRO LLC SIX STATES | 687.05 | |
| | | | | 687.05 | 101-100-5205540 RW49 LIGHTS FOR DUMPTRUCK |
| 9/17/20 | 49378 | 22 | UNITED WAY OF THE COLUMBIA-WIL | 83.00 | |
| | | | | 83.00 | 101-100-6202120 PAYROLL DEDUCTION |
| 9/17/20 | 49379 | 991 | US BANK | 495.00 | |
| | | | | 495.00 | 101-100-5205740 BOND ADMINISTRATION FEE |
| 9/17/20 | 49380 | 1254 | ZIPLY FIBER | 1,187.71 | |
| | | | | 221.97 | 101-100-5205210 OFFICE FIOS INTERNET |
| | | | | 929.58 | 101-100-5205210 PHONES |

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| 10 | UMPQUA BK-GENERAL | | | | |
| | | | | 36.16 | 101-100-5205660 SE 148TH TELEMETRY |
| 9/24/20 | 49381 | 21593 | BRAY, DOUGLAS | 120.14 | |
| | | | | 70.15 | 101-100-5104600 ON CALL MILEAGE |
| | | | | 49.99 | 101-100-5205440 RAINGEAR REIMBURSEMENT |
| 9/24/20 | 49382 | 637 | COMCAST CABLE | 289.85 | |
| | | | | 289.85 | 101-100-5205210 OFFICE INTERNET |
| 9/24/20 | 49383 | 66 | CONSOLIDATED SUPPLY COMPANY | 2,630.90 | |
| | | | | 779.95 | 101-100-5205610 ADAPTERS FOR INVENTORY |
| | | | | 589.99 | 101-100-5406020 NS687-692 ROLLS OF PEX, ADAPTERS |
| | | | | 1,260.96 | 101-100-5406020 NS670-672 ADAPTERS |
| 9/24/20 | 49384 | 1240 | CUNNINGHAM CONSTRUCTION, INC | 580.00 | |
| | | | | 580.00 | 101-100-5406010 JOB 300 CASCADE #7 FENCE RENTAL |
| 9/24/20 | 49385 | 747 | GENERAL PACIFIC, INC | 1,707.00 | |
| | | | | 1,707.00 | 101-100-5406030 3 BADGER 2" METERS |
| 9/24/20 | 49386 | 427 | GRAINGER | 107.04 | |
| | | | | 107.04 | 101-100-5205520 12 SHOP AIR FILTERS |
| 9/24/20 | 49387 | 300 | HACH COMPANY, INC | 887.67 | |
| | | | | 443.83 | 101-100-5205620 BULK TUBING, KITS, SAMPLE CELLS |
| | | | | 443.84 | 501-100-5205610 BULK TUBING, KITS, SAMPLE CELLS |
| 9/24/20 | 49388 | 1137 | JW UNDERGROUND INC | 3,000.00 | |
| | | | | 3,000.00 | 101-100-5406010 JOB 307 CASCADE #8 ROCK/DUMP FEES |
| 9/24/20 | 49389 | 753 | KNIFE RIVER CORPORATE NW | 266.70 | |
| | | | | 266.70 | 101-100-5406010 JOB 311 ANKENY ST EXT ROCK/DUMP FEE |
| 9/24/20 | 49390 | 1004 | LIFEMAP ASSURANCE COMPANY | 1,653.68 | |
| | | | | 1,653.68 | 101-100-6202109 EMPL DISB/LIFE INSURANCE |
| 9/24/20 | 49391 | 1217 | MURRAYSMITH | 2,994.50 | |
| | | | | 2,994.50 | 101-100-5406010 JOB 314 MASTER PLAN UPDATE |
| 9/24/20 | 49392 | 139 | NORTHWEST NATURAL GAS COMPANY | 32.45 | |
| | | | | 32.45 | 101-100-5205230 HEATING |
| 9/24/20 | 49393 | 940 | O'REILLY AUTO PARTS | 253.87 | |
| | | | | 253.87 | 101-100-5205540 RW43 BRAKES |
| 9/24/20 | 49394 | 1057 | OXARC, INC | 106.44 | |
| | | | | 79.05 | 101-100-5205560 PROPANE REFILLS |
| | | | | 27.39 | 101-100-5205610 OXYGEN & ACETYLENE GOGGLES |
| 9/24/20 | 49395 | 360 | PARKROSE HARDWARE | 24.99 | |
| | | | | 24.99 | 101-100-5205440 DUST MASK FOR METAL WORK |
| 9/24/20 | 49396 | 225 | PORTER W. YETT COMPANY | 131.30 | |

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| 10 | UMPQUA BK-GENERAL | | | | |
| | | | | 131.30 | 101-100-5205610 MISC ASPHALT |
| 9/24/20 | 49397 | 181 | PORTLAND GENERAL ELECTRIC | 1,627.72 | |
| | | | | 33.57 | 101-100-5205670 192/DIVISION PUMPING |
| | | | | 623.64 | 101-100-5205670 2021 NW 1ST AV PUMPING |
| | | | | 679.24 | 101-100-5205670 SE 235 AV PUMPING |
| | | | | 291.27 | 101-100-5205670 NW 1ST/ROYAL AV PUMPING |
| 9/24/20 | 49398 | 775 | SHERWIN WILLIAMS | 482.79 | |
| | | | | 482.79 | 501-100-5205610 2GALS DP UHS EPOXY |
| 9/24/20 | 49399 | 213 | TWINCO CUTTING & CORING, INC. | 999.00 | |
| | | | | 999.00 | 101-100-5406020 NS670-672 SAW CUTTING |
| 9/24/20 | 49400 | 1167 | ULINE | 318.83 | |
| | | | | 318.83 | 101-100-5205610 BLACK NITRILE GLOVES |
| 9/28/20 | 49401 | 507 | GRESHAM, CITY OF | 1,509.00 | |
| | | | | 1,509.00 | 101-100-5406010 JOB 313 PACKAGE 1 PRE-APP CONF FEE |
| 10/01/20 | 49402 | 25 | A & A DRILLING SERVICE, INC. | 1,645.50 | |
| | | | | 822.75 | 101-100-5406010 SD704 TAPPING SADDLE |
| | | | | 822.75 | 101-100-5406020 NS703 TAPPING SADDLE |
| 10/01/20 | 49403 | 1249 | ACI PAYMENTS, INC | 109.70 | |
| | | | | 109.70 | 101-100-5205740 HOME BANKING FEES |
| 10/01/20 | 49404 | 461 | ALLWOOD RECYCLERS, INC. | 90.00 | |
| | | | | 90.00 | 101-100-5205610 BARK |
| 10/01/20 | 49405 | 48 | CABLE, HUSTON, BENEDICT, ET AL | 2,900.00 | |
| | | | | 2,900.00 | 101-100-5205140 AUG GENERAL LEGAL |
| 10/01/20 | 49406 | 1029 | CARDMEMBER SERVICE | 3,088.17 | |
| | | | | 65.00 | 101-100-5205110 WEB SERVICE MAINTENANCE |
| | | | | 297.53 | 101-100-5205320 HEADSETS, WEBCAMS, TISSUE, CLEANER |
| | | | | 90.00 | 101-100-5205420 GM JOB POST PNWS-AWWA |
| | | | | 41.94 | 101-100-5205440 ROOM DIVIDER CLIPS |
| | | | | 149.00 | 101-100-5205450 RM LOCATOR CLASS |
| | | | | 1,618.92 | 101-100-5205460 ADOBE ANNUAL SUBSCRIPTION |
| | | | | 566.44 | 101-100-5205460 ZOOM FEES, MICROSOFT LICENSES |
| | | | | 192.50 | 101-100-5205540 RW39 ELECTRIC TROUBLESHOOT |
| | | | | 39.99 | 101-100-5205610 CELL PHONE HOLDER |
| | | | | 26.85 | 101-100-5205730 BS BUSINESS MEAL |
| 10/01/20 | 49407 | 1 | CHAVES CONSULTING, INC. | 4,426.34 | |
| | | | | 1,351.33 | 101-100-5205110 SEPT BILLING |
| | | | | 3,075.01 | 101-100-5205330 SEPT POSTAGE |
| 10/01/20 | 49408 | 1253 | CITY WIDE FACILITY SOLUTIONS | 650.00 | |
| | | | | 650.00 | 101-100-5205110 JANITORIAL SERVICE |
| 10/01/20 | 49409 | 59 | COAST PAVEMENT SERVICES, INC | 240.00 | |

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| DATE | CHECK # | VEN/EMP # | VENDOR/EMPLOYEE NAME | AMOUNT | DESCRIPTION |
|----------|-------------------|-----------|--------------------------------|-----------|--|
| 10 | UMPQUA BK-GENERAL | | | | |
| | | | | 240.00 | 101-100-5205520 NIGHT SWEEPS |
| 10/01/20 | 49410 | 637 | COMCAST CABLE | 113.35 | |
| | | | | 113.35 | 101-100-5205660 141ST INTERNET |
| 10/01/20 | 49411 | 66 | CONSOLIDATED SUPPLY COMPANY | 1,492.63 | |
| | | | | 1,408.63 | 101-100-5205610 TRACER WIRE |
| | | | | 84.00 | 101-100-5406020 NS687-692 TRACER WIRE |
| 10/01/20 | 49412 | 21914 | COOKSEY, WILLIAM | 50.00 | |
| | | | | 50.00 | 101-100-5205410 1 TOILET REBATE |
| 10/01/20 | 49413 | 1147 | CRYSTAL GREENS LANDSCAPING INC | 1,050.00 | |
| | | | | 500.00 | 101-100-5205520 OFFICE LANDSCAPE |
| | | | | 550.00 | 101-100-5205520 BELLA VISTA LANDSCAPE |
| 10/01/20 | 49414 | 131 | FEENAUGHTY MACHINERY CO. | 4.84 | |
| | | | | 4.84 | 101-100-5205610 ROLLER |
| 10/01/20 | 49415 | 607 | FERGUSON WATERWORKS #3011 | 826.95 | |
| | | | | 826.95 | 101-100-5406020 NS670-672 SADDLES, MTR SETTERS |
| 10/01/20 | 49416 | 747 | GENERAL PACIFIC, INC | 11,348.00 | |
| | | | | 1,246.00 | 101-100-5205610 GATE VALVES |
| | | | | 1,485.00 | 101-100-5406010 SD674-676 GATE VALVES |
| | | | | 6,097.00 | 101-100-5406010 SD674-676 HYDRANTS |
| | | | | 1,496.00 | 101-100-5406020 NS677-680 GATE VAVLES |
| | | | | 1,024.00 | 101-100-5406020 NS670-673 GATE VALVES |
| 10/01/20 | 49417 | 1137 | JW UNDERGROUND INC | 1,504.67 | |
| | | | | 824.19 | 101-100-5406020 NS687-692 ROCK/DUMP FEES |
| | | | | 680.48 | 101-100-5406020 NS653/655 ROCK/DUMP FEES |
| 10/01/20 | 49418 | 236 | NORTHERN SAFETY CO., INC. | 150.70 | |
| | | | | 144.00 | 101-100-5205440 SAFTEY GLASSES |
| | | | | 6.70 | 101-100-5205610 TRIGGER SPRAYER |
| 10/01/20 | 49419 | 1047 | OGLETREE, DEAKINS, NASH, SMOAK | 1,987.50 | |
| | | | | 1,987.50 | 101-100-5205140 AUG EMPLOYEE LEGAL |
| 10/01/20 | 49420 | 14 | OREGON AFSCME | 461.97 | |
| | | | | 461.97 | 101-100-6202140 PAYROLL DEDUCTION |
| 10/01/20 | 49421 | 225 | PORTER W. YETT COMPANY | 565.80 | |
| | | | | 565.80 | 101-100-5205610 MISC ASPHALT |
| 10/01/20 | 49422 | 189 | QUILL CORPORATION | 262.94 | |
| | | | | 87.99 | 101-100-5205320 TONER CARTRIDGE |
| | | | | 174.95 | 101-100-5205440 KN95 PROTECTVIE MASKS |
| 10/01/20 | 49423 | 21913 | TRAN, PAT | 50.00 | |
| | | | | 50.00 | 101-100-5205410 1 TOILET REBATE |
| 10/01/20 | 49424 | 1167 | ULINE | 560.22 | |

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| DATE | CHECK # | VEN/EMP # | VENDOR/EMPLOYEE NAME | AMOUNT | DESCRIPTION |
|----------|-------------------|-----------|--------------------------------|-----------|---|
| 10 | UMPQUA BK-GENERAL | | | | |
| | | | | 560.22 | 101-100-5205610 SHOP RAGS, NITRILE GLOVES |
| 10/01/20 | 49425 | 22 | UNITED WAY OF THE COLUMBIA-WIL | 83.00 | |
| | | | | 83.00 | 101-100-6202120 PAYROLL DEDUCTION |
| 10/01/20 | 49426 | 1254 | ZIPLY FIBER | 137.97 | |
| | | | | 137.97 | 101-100-5205660 BELLA VISTA FIOS INTERNET |
| 10/08/20 | 49427 | 251 | ADT COMMERCIAL LLC | 96.30 | |
| | | | | 96.30 | 101-100-5205520 OCTOBER 2020 ALARM MONITORING |
| 10/08/20 | 49428 | 442 | ADVANCED AUTO PARTS | 8.25 | |
| | | | | 8.25 | 101-100-5205560 WINDOW WASHER FLUID |
| 10/08/20 | 49429 | 803 | ALEXIN ANALYTICAL LABS, INC | 2,100.00 | |
| | | | | 2,100.00 | 101-100-5205620 ROUTINE TC/EC SAMPLES AUG/SEPT |
| 10/08/20 | 49430 | 5 | BACKFLOW MANAGEMENT, INC. | 165.00 | |
| | | | | 165.00 | 101-100-5205450 BACKFLOW TESTER RE CERT JB |
| 10/08/20 | 49431 | 520 | BATTERIES PLUS | 252.00 | |
| | | | | 252.00 | 101-100-5205610 QTY12 12 VOLT BATTERIES |
| 10/08/20 | 49432 | 981 | CENTURYLINK | 46.30 | |
| | | | | 46.30 | 101-100-5205660 SE 148TH TELEMETRY |
| 10/08/20 | 49433 | 66 | CONSOLIDATED SUPPLY COMPANY | 12,579.50 | |
| | | | | 2,196.48 | 101-100-5406010 SD654 PARTS FOR DHS BUILDING |
| | | | | 4,392.94 | 101-100-5406020 NS653 & NS 655 PARTS FOR DHS BUILD |
| | | | | 5,990.08 | 101-100-5406020 TWELVE MILE NS 670_673 & 677_680 |
| 10/08/20 | 49434 | 1147 | CRYSTAL GREENS LANDSCAPING INC | 490.00 | |
| | | | | 490.00 | 101-100-5205520 LANDSCAPING CLEVELAND PUMP STATION |
| 10/08/20 | 49435 | 73 | DELUXE FUEL OIL INC. | 2,296.62 | |
| | | | | 1,484.55 | 101-100-5205560 659.8 GAL GASOLINE |
| | | | | 812.07 | 101-100-5205560 498.2 GAL DIESEL |
| 10/08/20 | 49436 | 10289 | FAIRVIEW, CITY OF | 2,164.12 | |
| | | | | 2,164.12 | 101-100-5205790 UTILITY TAX Q3 2020 |
| 10/08/20 | 49437 | 89 | GC SYSTEMS, INC. | 127.47 | |
| | | | | 127.47 | 101-100-5205610 CDC_1 3/8 CHECK VALVE CLEVELAND RES |
| 10/08/20 | 49438 | 747 | GENERAL PACIFIC, INC | 3,680.00 | |
| | | | | 3,680.00 | 101-100-5205610 HEV DUTY VALVE BOXES & LIDS 32 |
| 10/08/20 | 49439 | 884 | GOVERNMENT ETHICS COMMISSION | 658.64 | |
| | | | | 658.64 | 101-100-5205460 GOV ETHICS ASSESSMENT FY20/21 |
| 10/08/20 | 49440 | 427 | GRAINGER | 88.68 | |
| | | | | 88.68 | 101-100-5205560 CONTACT CLEANER QTY 12 |
| 10/08/20 | 49441 | 507 | GRESHAM, CITY OF | 78,520.79 | |

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| DATE | CHECK # | VEN/EMP # | VENDOR/EMPLOYEE NAME | AMOUNT | DESCRIPTION |
|----------|-------------------|-----------|-----------------------------|-----------|--|
| 10 | UMPQUA BK-GENERAL | | | | |
| | | | | 78,520.79 | 101-100-5205770 UTILITY TAX Q3 2020 |
| 10/08/20 | 49442 | 85 | H.D. FOWLER COMPANY | 155.00 | |
| | | | | 155.00 | 101-100-5205610 20 GRIP JOINT ASSEMBLY |
| 10/08/20 | 49443 | 460 | HUMAN SOLUTIONS, INC | 14.00 | |
| | | | | 14.00 | 101-100-5205800 CUSTOMER ASSISTANCE AUG 2020 |
| 10/08/20 | 49444 | 1137 | JW UNDERGROUND INC | 888.00 | |
| | | | | 192.00 | 101-100-5205610 DUMP FEE HYDRANT BILLABLE |
| | | | | 600.00 | 101-100-5406010 JOB307 DUMP&GRAVEL FEE |
| | | | | 96.00 | 101-100-5406020 NS701&702 DUMP & GRAVEL FEE |
| 10/08/20 | 49445 | 742 | LAKESIDE INDUSTRIES | 251.10 | |
| | | | | 251.10 | 101-100-5406020 NS653&655 ASPHALT |
| 10/08/20 | 49446 | 604 | LOWE'S | 678.62 | |
| | | | | 93.57 | 101-100-5205520 LIGHTS, GAS LINE PIPING GARAGE |
| | | | | 33.20 | 101-100-5205520 GALV PIPE, GALV FLOOR FLANGE |
| | | | | 68.39 | 101-100-5205520 SCOTTS TURF BUILDER |
| | | | | 111.05 | 101-100-5205520 2X12X12 BOARDS |
| | | | | 28.49 | 101-100-5205530 LE SPLIT LEATHER WELDING |
| | | | | 56.99 | 101-100-5205530 CHOP SAW BLADES |
| | | | | 37.92 | 101-100-5205530 NTN RH 4 1/2 IN 40 GRT FL |
| | | | | 23.01 | 101-100-5205610 PAINT SUPPLIES |
| | | | | 181.58 | 101-100-5205610 WOOD SHELVES, TOTES, TAPE MEASURES |
| | | | | 44.42 | 101-100-5205610 2X12X12 BOARDS |
| 10/08/20 | 49447 | 126 | MOEN MACHINERY COMPANY | 69.91 | |
| | | | | 54.03 | 101-100-5205540 KABOTA MOWER BLADES |
| | | | | 15.88 | 101-100-5205540 HONDA FILTERS |
| 10/08/20 | 49448 | 236 | NORTHERN SAFETY CO., INC. | 230.60 | |
| | | | | 230.60 | 101-100-5205610 VARIOUS GLOVES |
| 10/08/20 | 49449 | 940 | O'REILLY AUTO PARTS | 356.63 | |
| | | | | 348.48 | 101-100-5205540 MISC FILTER RESTOCK |
| | | | | 8.15 | 101-100-5205540 BRAKES FOR RW43 |
| 10/08/20 | 49450 | 1038 | OREGON ASSOCIATION OF WATER | 1,100.00 | |
| | | | | 1,100.00 | 101-100-5205460 MEMBERSHIP DUES FY20_21 |
| 10/08/20 | 49451 | 360 | PARKROSE HARDWARE | 161.83 | |
| | | | | 92.56 | 101-100-5205540 SAW BLADES, SOLDERING IRON |
| | | | | 69.27 | 101-100-5205540 MASKING TAPE, NUTS & BOLTS |
| 10/08/20 | 49452 | 21915 | PENNEY, AVERY | 110.90 | |
| | | | | 110.90 | 101-100-6302430 CUSTOMER OVERPAYMENT REFUND |
| 10/08/20 | 49453 | 225 | PORTER W. YETT COMPANY | 130.65 | |
| | | | | 130.65 | 101-100-5406020 NS653&655 ASPHALT |
| 10/08/20 | 49454 | 70 | PORTLAND, CITY OF | 55,166.62 | |

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| DATE | CHECK # | VEN/EMP # | VENDOR/EMPLOYEE NAME | AMOUNT | DESCRIPTION |
|----------|-------------------|-----------|--------------------------------|------------|---|
| 10 | UMPQUA BK-GENERAL | | | | |
| | | | | 55,166.62 | 101-100-5205780 UTILITY TAX Q3 2020 |
| 10/08/20 | 49455 | 184 | PORTLAND, CITY OF | 223,383.25 | |
| | | | | 223,383.25 | 101-100-5205010 WATER PURCHASE SEPTEMBER 2020 |
| 10/08/20 | 49456 | 899 | POTTER WEBSTER COMPANY | 85.63 | |
| | | | | 85.63 | 101-100-5205540 PINTLE HOOK RW33 |
| 10/08/20 | 49457 | 21916 | PRITCHARD, JEAN | 24.30 | |
| | | | | 24.30 | 101-100-6302430 CUSTOMER OVERPAYMENT REFUND |
| 10/08/20 | 49458 | 189 | QUILL CORPORATION | 767.32 | |
| | | | | 299.99 | 101-100-5205320 PRINTER CM |
| | | | | 414.96 | 101-100-5205320 VARIOUS TONERS |
| | | | | 52.37 | 101-100-5205320 SMEAD PAPER FILE POCKET EXPANSION |
| 10/08/20 | 49459 | 1009 | RICOH USA, INC | 162.63 | |
| | | | | 162.63 | 101-100-5205110 PRINTER FEES |
| 10/08/20 | 49460 | 21917 | SMITH, KAREN | 28.43 | |
| | | | | 28.43 | 101-100-6302430 CUSTOMER OVERPAYMENT REFUND |
| 10/08/20 | 49461 | 721 | SPECIAL DISTRICTS INSURANCE SE | 26,180.94 | |
| | | | | 26,180.94 | 101-100-6202108 NOV20 HEALTH & DENTAL PREMIUMS |
| 10/08/20 | 49462 | 767 | SUPERIOR TIRE SERVICE | 912.54 | |
| | | | | 912.54 | 101-100-5205550 6 TIRES MX1 TRAILER |
| 10/08/20 | 49463 | 221 | WASTE MANAGEMENT, INC | 181.51 | |
| | | | | 181.51 | 101-100-5205520 SEPTEMBER 20 GARBAGE SERVICE |
| 10/08/20 | 49464 | 21918 | WHITE, SARAH | 150.00 | |
| | | | | 150.00 | 101-100-6302410 FINAL BILL DEPOSIT REFUND |

GRAND TOTAL 811,818.62

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RWDANIEL
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DEPT-100 FUND ACTIVITIES

ROCKWOOD WATER P.U.D.
REVENUE/EXPENDITURE REPORT

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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|----------------------------------|---------------------------|------------|------------------------------|-----------------------------|-------------------------|----|
| R E V E N U E S | | | | | | |
| 3-01-0101 | BEGINNING FUND BALANCE | 9,130,922 | -- | 8,800,468.57 | 330,453.43 | 96 |
| | REVENUE ACCOUNTS | 9,130,922 | | 8,800,468.57 | 330,453.43 | 96 |
| 3-10-1100 | WATER SALES | 9,690,517 | 753,305.16 | 2,590,520.50 | 7,099,996.50 | 27 |
| | WATER SALES | 9,690,517 | 753,305.16 | 2,590,520.50 | 7,099,996.50 | 27 |
| 3-20-2100 | BACKFLOW CHARGES | 35,000 | 1,700.40 | 7,857.20 | 27,142.80 | 22 |
| 3-20-2200 | SET-UP FEES | 28,000 | 2,584.00 | 7,235.20 | 20,764.80 | 26 |
| 3-20-2300 | LATE FEES | 250,000 | 10,660.80 | 31,020.80 | 218,979.20 | 12 |
| | FEES & SPECIAL CHARGES | 313,000 | 14,945.20 | 46,113.20 | 266,886.80 | 15 |
| 3-40-4100 | SERVICE INSTALLATIONS | 200,000 | 34,639.00 | 49,742.15 | 150,257.85 | 25 |
| 3-40-4200 | SUB-DIVISION PROJECTS | 50,000 | -- | 6,603.96 | 56,603.96 | 13 |
| 3-40-4300 | OTHER SERVICE INCOME | 150,000 | 27,495.31 | 37,870.47 | 112,129.53 | 25 |
| | CHARGES FOR SERVICES | 400,000 | 62,134.31 | 81,008.66 | 318,991.34 | 20 |
| 3-50-5100 | INTEREST INCOME | 210,000 | 5,446.04 | 18,441.04 | 191,558.96 | 9 |
| 3-50-5200 | RENTAL INCOME | 89,780 | 5,669.02 | 21,201.04 | 68,578.96 | 24 |
| | INVESTMENT INCOME | 299,780 | 11,115.06 | 39,642.08 | 260,137.92 | 13 |
| 3-60-6100 | SCRAP METAL SALES | 10,000 | -- | 518.00 | 9,482.00 | 5 |
| 3-60-6200 | CONSTRUCTION PLAN SALES | -- | -- | -- | -- | 0 |
| 3-60-6600 | OTHER MISC INCOME | 28,000 | 321.13 | 482.46 | 27,517.54 | 2 |
| 3-60-6800 | GRESHAM UTILITY TAX | 379,300 | 11,187.28 | 66,653.12 | 312,646.88 | 18 |
| 3-60-6900 | PORTLAND UTILITY TAX | 152,000 | 28,594.07 | 55,352.97 | 96,647.03 | 36 |
| 3-60-7000 | FAIRVIEW UTILITY TAX | 11,360 | 6.10 | 2,176.62 | 9,183.38 | 19 |
| | OTHER INCOME | 580,660 | 40,108.58 | 125,183.17 | 455,476.83 | 22 |
| 3-90-9200 | INTERFUND TRANSFERS | 498,328 | -- | -- | 498,328.00 | 0 |
| 3-90-9300 | FIXED ASSET DISPOSITION | 355,000 | -- | 20,000.00 | 335,000.00 | 6 |
| 3-90-9500 | OTHER GOVERNMENT AGENCIES | 738,500 | 187,001.36 | 559,907.97 | 178,592.03 | 76 |
| | OTHER FINANCING SOURCES | 1,591,828 | 187,001.36 | 579,907.97 | 1,011,920.03 | 36 |
| T O T A L DEPT 100 R E V E N U E | | 22,006,707 | 1,068,609.67 | 12,262,844.15 | 9,743,862.85 | 56 |
| E X P E N S E S | | | | | | |
| 5-10-4001 | GENERAL MANAGER | -- | -- | -- | -- | 0 |
| 5-10-4010 | SUPERINTENDENT | -- | -- | -- | -- | 0 |
| 5-10-4030 | ENGINEER | -- | -- | -- | -- | 0 |
| 5-10-4035 | SENIOR ACCOUNTANT | -- | -- | -- | -- | 0 |
| 5-10-4040 | ASSISTANT SUPERINTENDENT | -- | -- | -- | -- | 0 |
| 5-10-4043 | OFFICE SUPERVISOR | -- | -- | -- | -- | 0 |
| 5-10-4045 | ACCOUNTING CLERK | -- | -- | -- | -- | 0 |
| 5-10-4050 | ADMINISTRATIVE SECRETARY | -- | -- | -- | -- | 0 |
| 5-10-4055 | CONSER & PR COORDINATOR | -- | -- | -- | -- | 0 |
| 5-10-4100 | CUSTOMER ACCT SPECIALIST | -- | -- | -- | -- | 0 |
| 5-10-4110 | CUSTOMER ACCT SPECIALIST | -- | -- | -- | -- | 0 |
| 5-10-4115 | CUSTOMER ACCT SPECIALIST | -- | -- | -- | -- | 0 |
| 5-10-4120 | RECEPTIONIST | -- | -- | -- | -- | 0 |

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RWDANIEL
FUND-101 GENERAL FUND
DEPT-100 FUND ACTIVITIES

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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|-----------|---------------------------|-----------|------------------------------|-----------------------------|-------------------------|----|
| 5-10-4150 | PART TIME LABOR/OFFICE | -- | -- | -- | -- | 0 |
| 5-10-4180 | ENGINEERING TECH I | -- | -- | -- | -- | 0 |
| 5-10-4200 | LEAD PERSON | -- | -- | -- | -- | 0 |
| 5-10-4210 | LEAD PERSON | -- | -- | -- | -- | 0 |
| 5-10-4220 | UTILITY WORKER II | -- | -- | -- | -- | 0 |
| 5-10-4230 | UTILITY WORKER II | -- | -- | -- | -- | 0 |
| 5-10-4240 | UTILITY WORKER II | -- | -- | -- | -- | 0 |
| 5-10-4250 | UTILITY WORKER I | -- | -- | -- | -- | 0 |
| 5-10-4260 | UTILITY WORKER I | -- | -- | -- | -- | 0 |
| 5-10-4270 | UTILITY WORKER I | -- | -- | -- | -- | 0 |
| 5-10-4290 | PART-TIME LABOR/FIELD | -- | -- | -- | -- | 0 |
| 5-10-4300 | MECHANIC | -- | -- | -- | -- | 0 |
| 5-10-4310 | WATER QUALITY TECH | -- | -- | -- | -- | 0 |
| 5-10-4315 | WATER OPERATIONS SPEC | -- | -- | -- | -- | 0 |
| 5-10-4320 | METER OPERATION SPEC | -- | -- | -- | -- | 0 |
| 5-10-4330 | METER READER-OFF/ON | -- | -- | -- | -- | 0 |
| 5-10-4340 | METER READER | -- | -- | -- | -- | 0 |
| 5-10-4350 | METER READER | -- | -- | -- | -- | 0 |
| 5-10-4450 | SALARIES/WAGES | 1,999,207 | 153,907.79 | 453,636.73 | 1,545,570.27 | 23 |
| 5-10-4500 | OFFICE OVERTIME | 1,500 | -- | -- | 1,500.00 | 0 |
| 5-10-4550 | FIELD OVERTIME | 16,000 | 1,048.18 | 3,059.85 | 12,940.15 | 19 |
| 5-10-4600 | ON CALL DUTY | 68,090 | 4,397.56 | 13,262.51 | 54,827.49 | 19 |
| 5-10-4610 | WATER CERTIFICATIONS | 15,080 | 1,089.57 | 3,257.51 | 11,822.49 | 22 |
| 5-10-4620 | EMPLOYEE BONUS | 1,000 | -- | -- | 1,000.00 | 0 |
| 5-10-4630 | MARKET BASED WAGE ADJUST | 15,000 | -- | -- | 15,000.00 | 0 |
| 5-10-4700 | CHANGE/VACATION ACCRUAL | -- | -- | -- | -- | 0 |
| 5-10-4800 | SOCIAL SECURITY | 131,184 | 9,695.51 | 28,516.15 | 102,667.85 | 22 |
| 5-10-4810 | MEDICARE | 30,680 | 2,267.57 | 6,678.92 | 24,001.08 | 22 |
| 5-10-4820 | UNEMPLOYMENT INSURANCE | 2,116 | 476.00 | 476.00 | 1,640.00 | 22 |
| 5-10-4830 | TRI-MET | 15,313 | 3,563.71 | 3,563.71 | 11,749.29 | 23 |
| 5-10-4840 | WORKERS COMPENSATION | 25,501 | 2,341.51 | 4,750.80 | 20,750.20 | 19 |
| 5-10-4850 | SICK LEAVE P/O | -- | -- | -- | -- | 0 |
| 5-10-4900 | P.E.R.S | 532,704 | 39,585.76 | 116,820.17 | 415,883.83 | 22 |
| 5-10-4920 | EMPLOYEE INSURANCE EXPENS | 473,115 | 40,899.93 | 122,588.52 | 350,526.48 | 26 |
| | PERSONAL SERVICES | 3,326,490 | 259,273.09 | 756,610.87 | 2,569,879.13 | 23 |
| 5-20-5010 | WATER PURCHASES | 3,142,547 | 223,383.25 | 714,344.41 | 2,428,202.59 | 23 |
| 5-20-5110 | CONTRACT SERVICES | 87,060 | 5,088.60 | 24,005.86 | 63,054.14 | 28 |
| 5-20-5120 | TECHNICAL & CONSULTING | 27,000 | -- | -- | 27,000.00 | 0 |
| 5-20-5130 | AUDITING | 18,500 | -- | -- | 18,500.00 | 0 |
| 5-20-5140 | LEGAL SERVICES | 100,000 | -- | 1,625.00 | 98,375.00 | 2 |
| 5-20-5210 | TELEPHONE | 37,320 | 2,878.30 | 7,213.76 | 30,106.24 | 19 |
| 5-20-5220 | SEWER/STORM WATER | 6,500 | 1,256.48 | 2,564.62 | 3,935.38 | 39 |
| 5-20-5230 | HEATING/ELECTRICITY | 30,500 | 1,024.30 | 3,256.62 | 27,243.38 | 11 |
| 5-20-5310 | ENGINEERING SUPPLIES | 500 | -- | 395.00 | 105.00 | 79 |
| 5-20-5320 | OFFICE SUPPLIES | 29,000 | 324.31 | 12,196.39 | 16,803.61 | 42 |
| 5-20-5330 | POSTAGE | 44,300 | 3,549.02 | 7,281.97 | 37,018.03 | 16 |
| 5-20-5410 | CONSERVATION | 69,350 | 250.00 | 500.00 | 68,850.00 | 1 |
| 5-20-5420 | ELECTIONS/LEGAL ADVERTISE | 3,500 | -- | -- | 3,500.00 | 0 |
| 5-20-5430 | INSURANCE-GENERAL | 85,000 | 6,390.50 | 18,461.50 | 66,538.50 | 22 |
| 5-20-5440 | SAFETY GEAR & EQUIPMENT | 23,000 | 1,230.61 | 10,014.01 | 12,985.99 | 44 |
| 5-20-5450 | TRAINING & EDUCATION | 31,500 | 945.00 | 1,938.12 | 29,561.88 | 6 |
| 5-20-5460 | DUES/LICENSES/SUBSCRIPTNS | 103,771 | 1,272.24 | 76,017.31 | 27,753.69 | 73 |
| 5-20-5470 | OFFICE EQUIPMENT | 2,500 | -- | -- | 2,500.00 | 0 |

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ROCKWOOD WATER P.U.D.
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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|-----------|---------------------------|-----------|------------------------------|-----------------------------|-------------------------|----|
| 5-20-5480 | TAPPING TEAM EXPENSE | -- | -- | -- | -- | 0 |
| 5-20-5510 | COMPUTER/OFFICE EQUIPMENT | 5,250 | -- | -- | 5,250.00 | 0 |
| 5-20-5520 | BLDG/GROUNDS MAINTENANCE | 80,190 | 2,396.15 | 7,128.88 | 73,061.12 | 9 |
| 5-20-5530 | SHOP EQUIPMENT MAINT | 10,000 | -- | 459.53 | 9,540.47 | 5 |
| 5-20-5540 | VEHICLE MAINTENANCE | 35,000 | 3,500.75 | 8,115.10 | 26,884.90 | 23 |
| 5-20-5550 | TIRES | 8,000 | 301.60 | 1,765.36 | 6,234.64 | 22 |
| 5-20-5560 | GAS/LUBRICANTS & DISPOSAL | 30,000 | 1,419.04 | 4,166.58 | 25,833.42 | 14 |
| 5-20-5570 | SMALL EQUIPMENT/TOOLS | 10,000 | -- | -- | 10,000.00 | 0 |
| 5-20-5610 | UTILITY OPERATING SUPPLY | 250,000 | 21,694.74 | 54,166.99 | 195,833.01 | 22 |
| 5-20-5620 | WATER SAMPLES/TESTING | 30,000 | 343.83 | 9,826.65 | 20,173.35 | 33 |
| 5-20-5625 | WATER QUALITY & NOTICES | 7,000 | -- | -- | 7,000.00 | 0 |
| 5-20-5630 | RESERVOIR MAINTENANCE | 10,000 | -- | -- | 10,000.00 | 0 |
| 5-20-5640 | METER MAINTENANCE | 40,000 | 1,061.64 | 1,930.71 | 38,069.29 | 5 |
| 5-20-5650 | HYDRANT MAINTENANCE | 2,500 | -- | -- | 2,500.00 | 0 |
| 5-20-5660 | TELEMETRY | 20,600 | 639.21 | 2,147.27 | 18,452.73 | 10 |
| 5-20-5670 | PUMPING | 43,100 | 3,046.60 | 8,428.71 | 34,671.29 | 20 |
| 5-20-5710 | BOARD MEETING FEES | 8,100 | 550.00 | 1,000.00 | 7,100.00 | 12 |
| 5-20-5720 | DIRECTORS' EXPENSE | 28,000 | -- | 23.96 | 27,976.04 | 0 |
| 5-20-5730 | MANAGER'S EXPENSE | 3,000 | -- | -- | 3,000.00 | 0 |
| 5-20-5740 | GENERAL OFFICE | 62,400 | 5,127.14 | 14,369.97 | 48,030.03 | 23 |
| 5-20-5750 | CASH OVER/SHORT | -- | -- | -- | -- | 0 |
| 5-20-5760 | BAD DEBT EXPENSE | 35,000 | -- | -- | 35,000.00 | 0 |
| 5-20-5770 | GRESHAM UTILITY TAX | 379,300 | -- | -- | 379,300.00 | 0 |
| 5-20-5780 | PORTLAND UTILITY TAX | 152,000 | -- | -- | 152,000.00 | 0 |
| 5-20-5790 | FAIRVIEW UTILITY TAX | 11,360 | -- | -- | 11,360.00 | 0 |
| 5-20-5800 | CUSTOMER ASSISTANCE | 60,000 | 1,634.89 | 4,231.67 | 55,768.33 | 7 |
| 5-20-5810 | NON-REIMBURSED LIABILITY | -- | -- | -- | -- | 0 |
| 5-20-5820 | TAXES & ASSESSMENTS | 3,100 | -- | -- | 3,100.00 | 0 |
| 5-20-7050 | PAYMENTS ON FINANCING | -- | -- | -- | -- | 0 |
| 5-20-7070 | INTEREST EXPENSE | -- | -- | -- | -- | 0 |
| | MATERIAL & SERVICES | 5,165,748 | 287,184.92 | 997,575.95 | 4,168,172.05 | 19 |
| 5-40-6010 | WATER SYSTEM | 2,495,880 | 84,788.61 | 375,915.94 | 2,119,964.06 | 15 |
| 5-40-6015 | CRW CAPACITY | -- | -- | -- | -- | 0 |
| 5-40-6020 | METERS | 50,000 | 22,383.14 | 25,861.35 | 24,138.65 | 52 |
| 5-40-6030 | METER UPGRADES | 58,000 | 3,571.00 | 3,571.00 | 54,429.00 | 6 |
| 5-40-6110 | METER EQUIPMENT | 19,100 | 8,946.00 | 16,681.00 | 2,419.00 | 87 |
| 5-40-6120 | SHOP EQUIPMENT | 5,000 | -- | -- | 5,000.00 | 0 |
| 5-40-6130 | AUTOMOTIVE EQUIPMENT | 208,882 | 18,530.99 | 157,396.99 | 51,485.01 | 75 |
| 5-40-6140 | COMPUTER/OFFICE EQUIP | 233,200 | -- | -- | 233,200.00 | 0 |
| 5-40-6210 | BUILDINGS | 10,000 | -- | -- | 10,000.00 | 0 |
| 5-40-6220 | LAND | 400,000 | -- | -- | 400,000.00 | 0 |
| | CAPITAL OUTLAY | 3,480,062 | 138,219.74 | 579,426.28 | 2,900,635.72 | 17 |
| 5-60-5810 | NON-REIMBURSED LIABILITY | -- | -- | -- | -- | 0 |
| 5-60-7110 | TRANSFER TO OTHER FUND | 2,583,000 | -- | 466,850.00 | 2,116,150.00 | 18 |
| | TRANSFERS | 2,583,000 | -- | 466,850.00 | 2,116,150.00 | 18 |
| 5-70-7210 | CONTINGENCY | 1,400,000 | -- | -- | 1,400,000.00 | 0 |
| | CONTINGENCY | 1,400,000 | -- | -- | 1,400,000.00 | 0 |
| 5-90-8010 | UNAPPROP ENDING FUND BAL | 6,051,407 | -- | -- | 6,051,407.00 | 0 |
| | ENDING FUND BALANCE | 6,051,407 | -- | -- | 6,051,407.00 | 0 |

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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNEMCUMBERED BALANCE | % |
|--|-------------------------|--------------|------------------------------|-----------------------------|-------------------------|----|
| | TOTAL PERSONAL SERVICES | 3,326,490 | 259,273.09 | 756,610.87 | 2,569,879.13 | 23 |
| | TOTAL MATERIAL & SERV | 5,165,748 | 287,184.92 | 997,575.95 | 4,168,172.05 | 19 |
| | TOTAL CAPITAL OUTLAY | 3,480,062 | 138,219.74 | 579,426.28 | 2,900,635.72 | 17 |
| | TOTAL ALL OTHER | 10,034,407 | | 466,850.00 | 9,567,557.00 | 5 |
| T O T A L DEPT 100 E X P E N D I T U R E | | 22,006,707 | 684,677.75 | 2,800,463.10 | 19,206,243.90 | 13 |
| T O T A L FUND 101 R E V E N U E | | 22,006,707 | 1,068,609.67 | 12,262,844.15 | 9,743,862.85 | 56 |
| | FUND PERSONAL SERVICES | 3,326,490 | 259,273.09 | 756,610.87 | 2,569,879.13 | 23 |
| | FUND MATERIAL & SERV | 5,165,748 | 287,184.92 | 997,575.95 | 4,168,172.05 | 19 |
| | FUND CAPITAL OUTLAY | 3,480,062 | 138,219.74 | 579,426.28 | 2,900,635.72 | 17 |
| | FUND ALL OTHER | 10,034,407 | | 466,850.00 | 9,567,557.00 | 5 |
| T O T A L FUND 101 E X P E N D I T U R E | | 22,006,707 | 684,677.75 | 2,800,463.10 | 19,206,243.90 | 13 |
| | FUND PRIOR BALANCE | 9,078,449.13 | | | | |
| | NET FUND BALANCE | 9,462,381.05 | | | | |

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FUND-201 SDC FUND-REIMBURSEMENT
DEPT-100 FUND ACTIVITIES

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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNEMCUMBERED BALANCE | % |
|--|--------------------------|------------|------------------------------|-----------------------------|-------------------------|-----|
| R E V E N U E S | | | | | | |
| 3-01-0101 | BEGINNING FUND BALANCE | 632,492 | -- | 735,762.79 | 103,270.79- | 116 |
| | REVENUE ACCOUNTS | 632,492 | | 735,762.79 | 103,270.79- | 116 |
| 3-40-4500 | SYSTEM DEVELOPMENT FEES | 150,000 | 53,666.00 | 123,147.98 | 26,852.02 | 82 |
| | CHARGES FOR SERVICES | 150,000 | 53,666.00 | 123,147.98 | 26,852.02 | 82 |
| 3-50-5100 | INTEREST INCOME | 15,000 | 907.43 | 2,886.97 | 12,113.03 | 19 |
| | INVESTMENT INCOME | 15,000 | 907.43 | 2,886.97 | 12,113.03 | 19 |
| T O T A L DEPT 100 R E V E N U E | | 797,492 | 54,573.43 | 861,797.74 | 64,305.74- | 108 |
| E X P E N S E S | | | | | | |
| 5-60-7110 | TRANSFER TO OTHER FUND | 300,000 | -- | -- | 300,000.00 | 0 |
| | TRANSFERS | 300,000 | | | 300,000.00 | 0 |
| 5-90-8010 | UNAPPROP ENDING FUND BAL | 497,492 | -- | -- | 497,492.00 | 0 |
| | ENDING FUND BALANCE | 497,492 | | | 497,492.00 | 0 |
| | TOTAL PERSONAL SERVICES | | | | | 0 |
| | TOTAL MATERIAL & SERV | | | | | 0 |
| | TOTAL CAPITAL OUTLAY | | | | | 0 |
| | TOTAL ALL OTHER | 797,492 | | | 797,492.00 | 0 |
| T O T A L DEPT 100 E X P E N D I T U R E | | 797,492 | | | 797,492.00 | 0 |
| T O T A L FUND 201 R E V E N U E | | 797,492 | 54,573.43 | 861,797.74 | 64,305.74- | 108 |
| | FUND PERSONAL SERVICES | | | | | 0 |
| | FUND MATERIAL & SERV | | | | | 0 |
| | FUND CAPITAL OUTLAY | | | | | 0 |
| | FUND ALL OTHER | 797,492 | | | 797,492.00 | 0 |
| T O T A L FUND 201 E X P E N D I T U R E | | 797,492 | | | 797,492.00 | 0 |
| | FUND PRIOR BALANCE | 807,224.31 | | | | |
| | NET FUND BALANCE | 861,797.74 | | | | |

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RWDANIEL
FUND-202 SDC FUND-IMPROVEMENT
DEPT-100 FUND ACTIVITIES

ROCKWOOD WATER P.U.D.
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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|--|-------------------------------------|------------|------------------------------|-----------------------------|-------------------------|-----|
| R E V E N U E S | | | | | | |
| 3-01-0101 | BEGINNING FUND BALANCE | 99,802 | -- | 118,245.08 | 18,443.08- | 118 |
| | REVENUE ACCOUNTS | 99,802 | | 118,245.08 | 18,443.08- | 118 |
| 3-40-4500 | SYSTEM DEVELOPMENT FEES | 25,000 | 15,874.00 | 27,185.02 | 2,185.02- | 109 |
| | CHARGES FOR SERVICES | 25,000 | 15,874.00 | 27,185.02 | 2,185.02- | 109 |
| 3-50-5100 | INTEREST INCOME | 1,000 | 107.41 | 338.55 | 661.45 | 34 |
| | INVESTMENT INCOME | 1,000 | 107.41 | 338.55 | 661.45 | 34 |
| T O T A L DEPT 100 R E V E N U E | | 125,802 | 15,981.41 | 145,768.65 | 19,966.65- | 116 |
| E X P E N S E S | | | | | | |
| 5-60-7110 | TRANSFER TO OTHER FUND TRANSFERS | -- | -- | -- | -- | 0 |
| 5-90-8010 | UNAPPROP ENDING FUND BAL | 125,802 | -- | -- | 125,802.00 | 0 |
| | ENDING FUND BALANCE | 125,802 | | | 125,802.00 | 0 |
| | TOTAL PERSONAL SERVICES | | | | | 0 |
| | TOTAL MATERIAL & SERV | | | | | 0 |
| | TOTAL CAPITAL OUTLAY | | | | | 0 |
| | TOTAL ALL OTHER | 125,802 | | | 125,802.00 | 0 |
| T O T A L DEPT 100 E X P E N D I T U R E | | 125,802 | | | 125,802.00 | 0 |
| T O T A L FUND 202 R E V E N U E | | 125,802 | 15,981.41 | 145,768.65 | 19,966.65- | 116 |
| | FUND PERSONAL SERVICES | | | | | 0 |
| | FUND MATERIAL & SERV | | | | | 0 |
| | FUND CAPITAL OUTLAY | | | | | 0 |
| | FUND ALL OTHER | 125,802 | | | 125,802.00 | 0 |
| T O T A L FUND 202 E X P E N D I T U R E | | 125,802 | | | 125,802.00 | 0 |
| | FUND PRIOR BALANCE | 129,787.24 | | | | |
| | NET FUND BALANCE | 145,768.65 | | | | |

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RWDANIEL
FUND-301 DEBT RESERVE FUND
DEPT-100 FUND ACTIVITIES

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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|--|-------------------------|------------|------------------------------|-----------------------------|-------------------------|-----|
| R E V E N U E S | | | | | | |
| 3-01-0101 | BEGINNING FUND BALANCE | 551,163 | -- | 549,329.32 | 1,833.68 | 100 |
| | REVENUE ACCOUNTS | 551,163 | | 549,329.32 | 1,833.68 | 100 |
| 3-40-4500 | SYSTEM DEVELOPMENT FEES | -- | -- | -- | -- | 0 |
| | CHARGES FOR SERVICES | | | | | 0 |
| 3-50-5100 | INTEREST INCOME | 13,500 | 451.04 | 1,470.42 | 12,029.58 | 11 |
| | INVESTMENT INCOME | 13,500 | 451.04 | 1,470.42 | 12,029.58 | 11 |
| 3-90-9200 | INTERFUND TRANSFERS | 463,000 | -- | 376,850.00 | 86,150.00 | 81 |
| 3-90-9400 | FINANCING PROCEEDS | -- | -- | -- | -- | 0 |
| | OTHER FINANCING SOURCES | 463,000 | | 376,850.00 | 86,150.00 | 81 |
| T O T A L DEPT 100 R E V E N U E | | 1,027,663 | 451.04 | 927,649.74 | 100,013.26 | 90 |
| E X P E N S E S | | | | | | |
| 5-60-7110 | TRANS TO DEBT SERV FUND | 463,000 | -- | 376,850.00 | 86,150.00 | 81 |
| | TRANSFERS | 463,000 | | 376,850.00 | 86,150.00 | 81 |
| 5-90-8010 | ENDING FUND BALANCE | 564,663 | -- | -- | 564,663.00 | 0 |
| | ENDING FUND BALANCE | 564,663 | | | 564,663.00 | 0 |
| | TOTAL PERSONAL SERVICES | | | | | 0 |
| | TOTAL MATERIAL & SERV | | | | | 0 |
| | TOTAL CAPITAL OUTLAY | | | | | 0 |
| | TOTAL ALL OTHER | 1,027,663 | | 376,850.00 | 650,813.00 | 37 |
| T O T A L DEPT 100 E X P E N D I T U R E | | 1,027,663 | | 376,850.00 | 650,813.00 | 37 |
| T O T A L FUND 301 R E V E N U E | | 1,027,663 | 451.04 | 927,649.74 | 100,013.26 | 90 |
| | FUND PERSONAL SERVICES | | | | | 0 |
| | FUND MATERIAL & SERV | | | | | 0 |
| | FUND CAPITAL OUTLAY | | | | | 0 |
| | FUND ALL OTHER | 1,027,663 | | 376,850.00 | 650,813.00 | 37 |
| T O T A L FUND 301 E X P E N D I T U R E | | 1,027,663 | | 376,850.00 | 650,813.00 | 37 |
| | FUND PRIOR BALANCE | 550,348.70 | | | | |
| | NET FUND BALANCE | 550,799.74 | | | | |

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 FUND-401 DEBT SERVICE FUND
 DEPT-100 FUND ACTIVITIES

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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|--|---|--------------------|------------------------------|-----------------------------|-------------------------|----------|
| R E V E N U E S | | | | | | |
| 3-01-0101 | REVENUE ACCOUNTS | -- | -- | -- | -- | 0 |
| 3-50-5100 | INTEREST INCOME INVESTMENT INCOME | -- | -- | -- | -- | 0 |
| 3-90-9200 | INTERFUND TRANSFERS | 463,000 | -- | 376,850.00 | 86,150.00 | 81 |
| 3-90-9400 | FINANCING PROCEEDS OTHER FINANCING SOURCES | -- 463,000 | -- | -- 376,850.00 | -- 86,150.00 | 0 81 |
| T O T A L DEPT 100 R E V E N U E | | 463,000 | | 376,850.00 | 86,150.00 | 81 |
| E X P E N S E S | | | | | | |
| 5-20-7040 | ADMINISTRATION FEES MATERIAL & SERVICES | -- | -- | -- | -- | 0 |
| 5-50-7050 | PAYMENTS ON FINANCING | 285,000 | -- | 285,000.00 | -- | 100 |
| 5-50-7070 | INTEREST EXPENSE DEBT SERVICE | 178,000 463,000 | -- | 91,850.00 376,850.00 | 86,150.00 86,150.00 | 52 81 |
| | TOTAL PERSONAL SERVICES | | | | | 0 |
| | TOTAL MATERIAL & SERV | | | | | 0 |
| | TOTAL CAPITAL OUTLAY | | | | | 0 |
| | TOTAL ALL OTHER | 463,000 | | 376,850.00 | 86,150.00 | 81 |
| T O T A L DEPT 100 E X P E N D I T U R E | | 463,000 | | 376,850.00 | 86,150.00 | 81 |
| T O T A L FUND 401 R E V E N U E | | 463,000 | | 376,850.00 | 86,150.00 | 81 |
| | FUND PERSONAL SERVICES | | | | | 0 |
| | FUND MATERIAL & SERV | | | | | 0 |
| | FUND CAPITAL OUTLAY | | | | | 0 |
| | FUND ALL OTHER | 463,000 | | 376,850.00 | 86,150.00 | 81 |
| T O T A L FUND 401 E X P E N D I T U R E | | 463,000 | | 376,850.00 | 86,150.00 | 81 |
| FUND PRIOR BALANCE | | | | | | |
| NET FUND BALANCE | | | | | | |

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RWDANIEL
FUND-501 GROUND WATER PRODUCTION
DEPT-100 FUND ACTIVITIES

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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|--|-------------------------|------------|------------------------------|-----------------------------|-------------------------|-----|
| R E V E N U E S | | | | | | |
| 3-01-0101 | BEGINNING FUND BALANCE | 253,295 | -- | 282,848.65 | 29,553.65- | 112 |
| | REVENUE ACCOUNTS | 253,295 | | 282,848.65 | 29,553.65- | 112 |
| 3-50-5100 | INTEREST INCOME | 5,000 | -- | -- | 5,000.00 | 0 |
| | INVESTMENT INCOME | 5,000 | | | 5,000.00 | 0 |
| 3-90-9200 | INTERFUND TRANSFERS | 180,000 | -- | 90,000.00 | 90,000.00 | 50 |
| 3-90-9400 | CITY OF GRESHAM | 180,000 | -- | -- | 180,000.00 | 0 |
| | OTHER FINANCING SOURCES | 360,000 | | 90,000.00 | 270,000.00 | 25 |
| T O T A L DEPT 100 R E V E N U E | | 618,295 | | 372,848.65 | 245,446.35 | 60 |
| E X P E N S E S | | | | | | |
| 5-20-5530 | EQUIPMENT MAINTENANCE | 10,000 | -- | -- | 10,000.00 | 0 |
| 5-20-5610 | OPERATING SUPPLIES | 30,000 | 3,533.63 | 11,609.06 | 18,390.94 | 39 |
| 5-20-5615 | WELLHEAD PROTECTION | 70,000 | -- | 70,000.00 | -- | 100 |
| 5-20-5620 | TESTING | 5,000 | -- | 1,343.68 | 3,656.32 | 27 |
| 5-20-5630 | RESERVOIR MAINTENANCE | 5,000 | -- | -- | 5,000.00 | 0 |
| 5-20-5670 | PUMPING | 200,000 | 40,353.94 | 87,531.53 | 112,468.47 | 44 |
| | MATERIAL & SERVICES | 320,000 | 43,887.57 | 170,484.27 | 149,515.73 | 53 |
| 5-50-7070 | INTEREST EXPENSE | -- | -- | -- | -- | 0 |
| | DEBT SERVICE | | | | | 0 |
| 5-60-7110 | TRANSFER TO OTHER FUND | 198,328 | -- | -- | 198,328.00 | 0 |
| | TRANSFERS | 198,328 | | | 198,328.00 | 0 |
| 5-90-8010 | ENDING FUND BALANCE | 99,967 | -- | -- | 99,967.00 | 0 |
| | ENDING FUND BALANCE | 99,967 | | | 99,967.00 | 0 |
| | TOTAL PERSONAL SERVICES | | | | | 0 |
| | TOTAL MATERIAL & SERV | 320,000 | 43,887.57 | 170,484.27 | 149,515.73 | 53 |
| | TOTAL CAPITAL OUTLAY | | | | | 0 |
| | TOTAL ALL OTHER | 298,295 | | | 298,295.00 | 0 |
| T O T A L DEPT 100 E X P E N D I T U R E | | 618,295 | 43,887.57 | 170,484.27 | 447,810.73 | 28 |
| T O T A L FUND 501 R E V E N U E | | 618,295 | | 372,848.65 | 245,446.35 | 60 |
| | FUND PERSONAL SERVICES | | | | | 0 |
| | FUND MATERIAL & SERV | 320,000 | 43,887.57 | 170,484.27 | 149,515.73 | 53 |
| | FUND CAPITAL OUTLAY | | | | | 0 |
| | FUND ALL OTHER | 298,295 | | | 298,295.00 | 0 |
| T O T A L FUND 501 E X P E N D I T U R E | | 618,295 | 43,887.57 | 170,484.27 | 447,810.73 | 28 |
| | FUND PRIOR BALANCE | 246,251.95 | | | | |
| | NET FUND BALANCE | 202,364.38 | | | | |

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RWDANIEL
FUND-601 GW CONSTRUCTION FUND
DEPT-100 FUND ACTIVITIES

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| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|--|--------------------------|------------|------------------------------|-----------------------------|-------------------------|----|
| R E V E N U E S | | | | | | |
| 3-01-0101 | BEGINNING FUND BALANCE | -- | -- | -- | -- | 0 |
| | REVENUE ACCOUNTS | | | | | 0 |
| 3-50-5100 | INTEREST INCOME | -- | -- | -- | -- | 0 |
| | INVESTMENT INCOME | | | | | 0 |
| 3-90-9200 | INTERFUND TRANSFERS | 1,940,000 | -- | -- | 1,940,000.00 | 0 |
| 3-90-9400 | FINANCING PROCEEDS | 4,700,000 | -- | -- | 4,700,000.00 | 0 |
| 3-90-9600 | BOND PROCEEDS | 13,492,500 | -- | -- | 13,492,500.00 | 0 |
| | OTHER FINANCING SOURCES | 20,132,500 | | | 20,132,500.00 | 0 |
| T O T A L DEPT 100 R E V E N U E | | 20,132,500 | | | 20,132,500.00 | 0 |
| E X P E N S E S | | | | | | |
| 5-20-5110 | CONTRACT SERVICES | 20,000 | -- | -- | 20,000.00 | 0 |
| 5-20-5120 | ENGINEERING SERVICES | 4,732,500 | -- | -- | 4,732,500.00 | 0 |
| | MATERIAL & SERVICES | 4,752,500 | | | 4,752,500.00 | 0 |
| 5-40-6035 | GROUNDWATER CONSTRUCTION | 15,380,000 | -- | -- | 15,380,000.00 | 0 |
| 5-40-6220 | PROPERTY RELATED TO GW | -- | -- | -- | -- | 0 |
| | CAPITAL OUTLAY | 15,380,000 | | | 15,380,000.00 | 0 |
| 5-60-7110 | TRANSFER TO OTHER FUND | -- | -- | -- | -- | 0 |
| | TRANSFERS | | | | | 0 |
| 5-90-8010 | ENDING FUND BALANCE | -- | -- | -- | -- | 0 |
| | ENDING FUND BALANCE | | | | | 0 |
| | TOTAL PERSONAL SERVICES | | | | | 0 |
| | TOTAL MATERIAL & SERV | 4,752,500 | | | 4,752,500.00 | 0 |
| | TOTAL CAPITAL OUTLAY | 15,380,000 | | | 15,380,000.00 | 0 |
| | TOTAL ALL OTHER | | | | | 0 |
| T O T A L DEPT 100 E X P E N D I T U R E | | 20,132,500 | | | 20,132,500.00 | 0 |
| T O T A L FUND 601 R E V E N U E | | 20,132,500 | | | 20,132,500.00 | 0 |
| | FUND PERSONAL SERVICES | | | | | 0 |
| | FUND MATERIAL & SERV | 4,752,500 | | | 4,752,500.00 | 0 |
| | FUND CAPITAL OUTLAY | 15,380,000 | | | 15,380,000.00 | 0 |
| | FUND ALL OTHER | | | | | 0 |
| T O T A L FUND 601 E X P E N D I T U R E | | 20,132,500 | | | 20,132,500.00 | 0 |
| FUND PRIOR BALANCE | | | | | | |
| NET FUND BALANCE | | | | | | |
| | GRAND TOTAL REVENUE | 45,171,459 | 1,139,615.55 | 14,947,758.93 | 30,223,700.07 | 33 |
| | TOTAL PERSONAL SERVICES | 3,326,490 | 259,273.09 | 756,610.87 | 2,569,879.13 | 23 |
| | TOTAL MATERIAL & SERV | 10,238,248 | 331,072.49 | 1,168,060.22 | 9,070,187.78 | 11 |
| | TOTAL CAPITAL OUTLAY | 18,860,062 | 138,219.74 | 579,426.28 | 18,280,635.72 | 3 |
| | TOTAL ALL OTHER | 12,746,659 | | 1,220,550.00 | 11,526,109.00 | 10 |
| | GRAND TOTAL EXPENDITURE | 45,171,459 | 728,565.32 | 3,724,647.37 | 41,446,811.63 | 8 |

10/08/20

11:47 AM

RWDANIEL

FUND-601 GW CONSTRUCTION FUND

DEPT-100 FUND ACTIVITIES

ROCKWOOD WATER P.U.D.

REVENUE/EXPENDITURE REPORT

9/01/20 THRU 9/30/20

PAGE 11

G11831

G11 3 S

| ACCOUNT | DESC | BUDGET | MONTH-TO-DATE EXPENSE/REV | YEAR TO DATE EXPENSE/REV | UNENCUMBERED BALANCE | % |
|---------|------------------|---------------|------------------------------|-----------------------------|-------------------------|---|
| | PRIOR BALANCE | 10,812,061.33 | | | | |
| | NET FUND BALANCE | 11,223,111.56 | | | | |

SDIS 2020/2021 Best Practices Survey

SDIS 2020/2021

Entity Name

Rockwood Water P.U.D.

Contact Name

Kerry Aden

Contact Email

kaden@rwpud.org

Contact Phone

503-665-4179

Total Discount %

10















Check Yes if completed










Yes

Help

More Information

Affiliate Membership - 2% Credit. Is your organization a member of:

| | | | |
|----|--|-------------------------------------|--|
| 1 | Oregon Fire District Directors Association (OFDDA)? | <input type="checkbox"/> |  (http://www.ofdda.com) |
| 2 | Oregon Fire Chiefs Association (OFCA)? | <input type="checkbox"/> | ?  (http://www.ofca.org) |
| 3 | Oregon Water Resources Congress (OWRC)? | <input type="checkbox"/> |  (http://owrc.org) |
| 4 | Oregon Mosquito and Vector Control Association? | <input type="checkbox"/> |  (http://www.omvca.org) |
| 5 | Oregon Recreation and Park Association (ORPA)? | <input type="checkbox"/> |  (http://www.orpa.com) |
| 6 | Oregon Public Ports Association (OPPA)? | <input type="checkbox"/> |  (http://www.oregonports.com) |
| 7 | Oregon Association of Clean Water Agencies (ORACWA)? | <input type="checkbox"/> |  (http://www.oracwa.org) |
| 8 | Oregon Association of Conservation Districts (OACD)? | <input type="checkbox"/> |  (https://oacd.org) |
| 9 | Cemetery Association of Oregon? | <input type="checkbox"/> |  (http://www.oregoncemeteries.com) |
| 10 | Oregon APCO-NENA? | <input type="checkbox"/> |  (http://www.oregonapconena.org/) |
| 11 | Oregon Transit Association (OTA)? | <input type="checkbox"/> |  (http://www.oregontransit.com) |
| 12 | Oregon People's Utility Districts Association (OPUDA)? | <input checked="" type="checkbox"/> |  (http://www.opuda.org/) |
| 13 | Oregon Association of Water Utilities (OAWU)? | <input checked="" type="checkbox"/> |  (http://www.oawu.net) |
| 14 | Oregon Library Association (OLA)? | <input type="checkbox"/> |  (http://www.olaweb.org) |

| | Check Yes if completed | Yes | Help | More Information |
|---|---|-------------------------------------|------|---|
| 15 | Oregon Economic Development District Association (OEDD)? | <input type="checkbox"/> | |  (http://www.oedd.org/) |
| 16 | Oregon PRIMA? | <input type="checkbox"/> | ? |  (http://orprima.org) |
| 17 | Oregon Association of Hospitals and Health Systems (OAHHS)? | <input type="checkbox"/> | |  (http://www.oahhs.org/) |
| 18 | Oregon Association Chiefs of Police (OACP)? | <input type="checkbox"/> | |  (http://www.policechief.org) |
| 19 | Oregon Rural Health Association (ORHA)? | <input type="checkbox"/> | |  (https://orha.wildapricot.org) |
| Harassment Checklist - 2% Credit | | | | |
| 20 | Has your district developed and implemented a procedure for employees to report incidents of harassment, discrimination or retaliation? | <input checked="" type="checkbox"/> | | |
| 21 | Does your district have a follow up process after a report was made or taken? | <input checked="" type="checkbox"/> | | |
| 22 | Does your district have an established internal investigation procedure? | <input checked="" type="checkbox"/> | | |
| 23 | Does your district have a documentation procedure when handling the report of an incident of harassment, discrimination or retaliation? | <input checked="" type="checkbox"/> | | |
| Harassment Policy - 2% Credit | | | | |
| 24 | Has your district adopted a written harassment policy that seeks to prevent workplace harassment required by the new changes in the law from Senate Bill 479? | <input checked="" type="checkbox"/> | |  (https://olis.leg.state.or.us/liz/2019R1/Downloads/MeasureDocument/SB479) |
| Online Training - 2% Credit. To receive credit, you must complete the following online course: | | | | |
| 25 | Has your organization completed the SafePersonnel Harassment Training? | <input checked="" type="checkbox"/> | ? |  (https://www.safepersonnel.com/) |
| SDAO-SDIS Training - 2% Credit. Has a representative from your organization attended: | | | | |
| 26 | 2020 SDAO Annual Conference? (Pre-conference sessions are also eligible.) | <input checked="" type="checkbox"/> | ? |  (http://www.cvent.com/d/chqzr1) |
| 27 | SDAO/SDIS regional trainings? | <input checked="" type="checkbox"/> | |  (https://www.sdao.com/EventList?InitialText=regional) |
| 28 | SDAO/SDIS onsite risk management training conducted by SDAO risk management staff or management consulting staff during the current policy year (2020)? | <input type="checkbox"/> | | |
| 29 | SDAO/SDIS webinar conducted by SDAO staff during the current policy year (2020)? | <input type="checkbox"/> | | |



Rockwood Water
People's Utility District

19601 NE Halsey Street
Portland, OR 97230-7430
503-665-4179 - Phone
503-667-5108 - Fax
www.rwpud.org

MEMORANDUM

To: Board of Directors

From: Brian R. Stahl, General Manager

Date: October 21 2020

Re: WIFIA Loan Letter of Interest (LOI) Recap

The WIFIA loan Letter of Interest [LOI] was submitted by the due date of October 15. Receipt of the LOI has been received from the US-EPA which now starts the 90 day review period by EPA. Approximately January 15, 2021, the District anticipates receiving an indication from the EPA whether a loan application is requested for the WIFIA program.

Highlights of the LOI are:

| | |
|---|-------------------------|
| Total Groundwater Development - \$119,961,489 | District - \$57,420,000 |
| Program project amount | Gresham - \$62,541,490 |
| WIFIA Loan Request (49% of project cost): | District - \$28,135,800 |
| | Gresham - \$30,645,330 |

Population served – 137,967 District – 65,674, Gresham – 72,293

The District is anticipating issuing revenue bonds to cover all or a portion of the project cost depending on the success of the WIFIA LOI.

A copy of the WIFIA LOI is included for the Boards information (without exhibits or appendices given the size of the document).

Staff will be prepared to discuss the LOI and financing approaches at the Board meeting.

October 13, 2020

City of Gresham, Oregon
Rockwood Water People's Utility District, Oregon

Water Infrastructure Finance and Innovation Act
Letter of Interest Submission
via SharePoint upload

WIFIA Loan Review Committee :

The City of Gresham and Rockwood Water People's Utility District are pleased to submit our Letter of Interest ("LOI") for your consideration of our project. This endeavor is the built on a decades-old partnership. Together, the City and Rockwood Water are continuing to forge a new path toward an independent, resilient, and affordable water source. Securing WIFIA financing is critical to the success our joint project.

In addition to our LOI, you will find as part of our submission a multitude of files relating to our project, Cascade Groundwater Development ("CGD"). You'll note that our appendices are organized as follows : Section (A) appendices relate to governance and legal authority of the project; Section (B) appendices cover engineering and technical documents; and Section (C) appendices support the financial components of the project.

We look forward to working with the application teams in the months ahead.

Many thanks for this opportunity.

Sincerely,



Brian R. Stahl, Rockwood Water People's Utility District



Mike Whiteley, City of Gresham

WIFIA Letter of Interest Instructions

A prospective borrower seeking Water Infrastructure Finance and Innovation Act (WIFIA) credit assistance must complete and submit this letter of interest form and provide requested attachments to the U.S. Environmental Protection Agency (EPA) by the deadline announced in the [Notice of Funding Availability](#) (NOFA). In its submittal, the prospective borrower:

- 1) Describes itself and its proposed project(s);
- 2) Provides key financial and engineering information and documents; and
- 3) Explains how the project meets the [WIFIA selection criteria](#).

The prospective borrower should answer all questions in this form. Narrative answers can reference source documents by including the name of the document and relevant pages or sections and providing any referenced documents as attachments. The prospective borrower must sign Sections E and F in the appropriate spaces and submit a scanned version of the signature pages to EPA.

A prospective borrower may assert a Confidential Business Information (CBI) claim covering part or all of the information submitted to EPA as part of its letter of interest, in a manner consistent with 40 C.F.R. 2.203, 41 Fed. Reg. 36902 (Sept. 1, 1976), by placing on (or attaching to) the information a cover sheet, stamped or typed legend, or other suitable form of notice employing language such as trade secret, proprietary, or company confidential. The prospective borrower should also state whether it desires confidential treatment until a certain date or until the occurrence of a certain event. Information covered by a business confidentiality claim will be disclosed by EPA only to the extent and only by means of the procedures set forth under 40 C.F.R. Part 2, Subpart B. Information that is not accompanied by a business confidentiality claim when it is received by EPA may be made available to the public by EPA without further notice to the prospective borrower. More information about CBI is available in the [WIFIA program handbook](#) and frequently asked questions (FAQ) available at <http://www.epa.gov/wifia>.

The total length of the letter of interest form should not exceed 50 pages, excluding any attachments. Responses should be on single-spaced, standard-sized 8 ½" x 11" pages. Page margins should not be less than 1-inch. Font size should not be smaller than 11-point Calibri. There is no limit to the number or length of attachments provided. Attachments should be the most recent versions of the documents available at the time of submission and may be draft or preliminary. Attachments must be referenced in the letter of interest form to be considered.

The final letter of interest submission must include:

- 1) A completed version of this letter of interest form (in Microsoft Word)
- 2) Scanned signature pages (Sections E and F) (in PDF)
- 3) All attachments requested and referenced in the letter of interest form

When finished, the letter of interest form and attachments may be submitted either by:

- 1) Emailing the documents as attachments to wifia@epa.gov; or



-
- 2) Uploading the documents to EPA's SharePoint site. To be granted access to the SharePoint site, the prospective borrower can request access to SharePoint by emailing wifia@epa.gov. Requests to upload documents must be made in advance of the deadline as outlined in the NOFA.

After EPA's intake process is complete, it will provide a confirmation email to the contacts listed in Section D.

Additional instructions and resources for completing and submitting this letter of interest are available in the [NOFA](#), [WIFIA program handbook](#), and WIFIA website (www.epa.gov/wifia). Questions may be submitted to the WIFIA program office at wifia@epa.gov.

Burden

The public reporting and recordkeeping burden for this collection of information is estimated to average 60 hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, included through the use of automated collection techniques to the Director, Regulatory Support Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

Warning

Falsification or misrepresentation of information or failure to file or report information required to be reported may be the basis for denial of financial assistance by EPA. Knowing and willful falsification of information required to be submitted and false statements to a Federal Agency may also subject you to criminal prosecution. See, for example, 18 U.S.C. §1001.

Additional information about the WIFIA program and the letter of interest form is available at <https://epa.gov/wifia> and by contacting wifia@epa.gov.



LETTER OF INTEREST

Provide the following information in this form or as narrative answers. Narrative answers can reference source documents (include the name of the document and relevant pages or sections). Provide any referenced documents as attachments.

Section A: Key Loan Information

1. Legal name of prospective borrower:

This project is being developed through an intergovernmental agreement (“IGA”) between a municipal utility and a people’s utility district. This letter of interest, jointly submitted, is provided with the goal of securing two separate, independent WIFIA loans.

- 1) City of Gresham, Oregon (the “City”)
- 2) Rockwood Water People’s Utility District (“RWPUD”)

2. Other names under which the prospective borrower does business:

Not applicable

3. Project name (*assign a short name to the project for purposes of identification*):

Cascade Groundwater Development (“CGD”)

4. Provide a brief description of the project(s) seeking financing. Limit the description to the elements included in the estimated total projects costs in Question A-7. (Word Limit: 300).

The CGD project is a joint effort to establish an independent regional water supply system. Currently, both the City and RWPUD purchase water from the City of Portland (“Portland”) as wholesale customers. The water is sourced primarily from the Bull Run Watershed and augmented by Portland groundwater supply during high turbidity events or during high usage. In addition, the City and RWPUD jointly operate redundant groundwater infrastructure that can produce and distribute approximately 14 MGD to mitigate rate effects of peaking.

The CGD project will greatly expand on this existing groundwater infrastructure to establish an independent and resilient water supply to meet current and future demands of residential, commercial, and industrial customers (see Appendix B.1. CGD Executive Summary). The six-year CGD project, currently underway, is divided into nine “packages” (detailed in Appendix B.2.’s “Executive Summary” section, pages ES-5 – ES-10) and will result in over 48 MG of terminal storage capacity, eight (8) high-production groundwater wells, three (3) redundant transmission lines, four (4) state-of-the-art treatment facilities for the delivery of high-quality domestic water, and enhanced pumping and delivery capacities.



The project will use the latest construction methods in seismically resilient water infrastructure, to include hardening technologies for existing and new storage reservoirs, restrained transmission lines and connections, flex couplings at critical connections, and seismic monitors and controls for all pumping facilities.

The project's costs are shared between the City and RWPUD according to an IGA (available in Appendix A.1.) and divided according to the project schedule available in Appendix B.2., Section 9, Figure 9-1. In addition to the capital program costs, the IGA details operating cost sharing as well as "buy-ins" to the existing system which are not included in the proposed WIFIA program scope.

5. Describe the project's or projects' purpose(s) (including quantitative or qualitative details on public benefits the project will achieve). If the loan contains more than one project, the projects must serve a common purpose. Describe the common purpose that the projects share (i.e. addressing sanitary sewer overflows or improving drinking water quality). (Word limit: 300).

The CGD project intends to address three principal concerns for drinking water supply in the region: resiliency, long-term affordability, and consistent quality.

The Bull Run Watershed and its critical infrastructure are vulnerable to the effects of climate change (notably wildfires) as well as earthquakes. With its inherent wildfire and planned seismic resiliency, the CGD project will provide reliable regional water distribution to meet the current and future demands of the 135,000 residents regularly served by the system. In addition, by using existing and new interconnections, this system will provide a regional source of water production, storage, and distribution in the event of an emergency.

The CGD project will provide long-term cost containment for residents, reducing customer rates by an estimated 80% over the next 20 years. Wholesale purchase rates are subject to increase by 270% to 370% in the next eight years. For rate-paying residents, particularly economically stressed and fixed-income populations, the ensuing rate effects will create a severe financial burden. Moreover, while these wholesale rate increases presently account for current water quality and delivery concerns, they do not take into account the aging infrastructure upgrades (including seismic improvements) for the 20 miles of century-old conduit that transmits water from the Bull Run Watershed to the joint water distribution system. The CGD project provides significant rate benefits by avoiding certain costly near-term infrastructure upgrades and promoting long-term asset ownership in the public interest.

In addition to the improved affordability for residential users, the consistent water quality provided by an independent groundwater system offers long term sufficiency and economic benefits for the entire service area. Both the City and RWPUD provide services to high-tech customers and a significant number of manufacturing and food service businesses who rely on consistent, high quality water.



6. Requested amount of the WIFIA loan (in dollars). This amount may be no more than 49% of the estimated eligible project costs provided in question A-7:

The total requested amount by the entities is \$58,781,130, distributed as follows:

- 1) The City
\$30,645,330
- 2) RWPUD
\$28,135,800

7. Estimated total eligible project costs (in dollars):

The total eligible project costs are \$119,961,489, distributed as follows:

- 1) The City
\$62,541,489
- 2) RWPUD
\$57,420,000

8. Identify the month and year the prospective borrower will submit an application. (Assume invitations to apply will be issued approximately 90 days from letter interest submission deadline).

Both the City and RWPUD are prepared to submit an application by July 2021.

9. Identify the month and year the prospective borrower wants to close its WIFIA loan.

Both the City and RWPUD prefer to close the loan by October 2021.

10. Identify the type of entity that the prospective borrower is (pick one):

- ☐ A. Corporation
- ☐ B. Partnership
- ☐ C. Joint Venture
- ☐ D. Trust
- ☒ E. Federal, State, or Local Governmental Entity, Agency, or Instrumentality
- ☐ F. Tribal Government or Consortium of Tribal Governments
- ☐ G. State Infrastructure Finance Authority

11. If option E, F, or G was selected in question A-10, does the prospective borrower have legal authority to carry out the proposed project activities described in this Letter of Interest?



☒ Yes ☐ No ☐ Not Applicable

If yes, cite the legal authority.

- 1) City of Gresham:
 - a. Council Action. See Appendix A.2., Council Meeting Minutes from June 16, 2020, agenda item C.3. ("Enactment Reading: 2020/21-2024/25 Capital Improvement Program").
 - b. Capital Improvement Program; see Appendix A.3.
 - c. Oregon Revised Statutes ("ORS") Chapter [225](#) and ORS Chapter [287A](#). (Chapters are hyperlinked to the Oregon state legislative site.)
- 2) RWPUD:
 - a. Board Action. See Appendix A.4., Minutes from February 26, 2020 Board Meeting ("Groundwater Development Master Plan Adoption")
 - b. Groundwater Development Master Plan ("GDMP"). See Appendix B.2.
 - c. ORS Chapter [261](#) and ORS Chapter [287A](#). (Chapters are hyperlinked to the Oregon state legislative site.)

12. If "C. Joint Venture" was selected in question A-10, describe the organizational structure of the project(s) and attach an organizational chart illustrating this structure. Explain the relationship between the prospective borrower, the project, and other relevant parties. Include individual members or titles of the project team(s) and their past experiences with projects of similar size and scope. If multiple parties are involved in the project's construction, maintenance, and operation, describe the project's risk allocation framework.

The CGD is not a joint venture. However, The City and RWPUD have been partners in the development and operation of a supplemental groundwater supply since 2004, sharing both operational decision making and financial responsibility, governed by three IGAs. The groundwater system is managed and operates under the direction of IGA 5794, formerly IGA 2046 (see Appendix A.8.). The entities retain and obtain water rights and groundwater resources under the governance of IGA 1827 (see Appendix A.9.). Finally, IGA 310774 (see Appendix A.1.) dictates the shared responsibility in the development of the joint GDMP which results in a fully independent groundwater supply serving both utilities.

13. County(ies) Served by project(s)

Multnomah County, Oregon

14. Population Served by project(s)

The total population served is approximately 137,967 (The City: 72,293; RWPUD: 65,674).

15. Total population served by system



The CGD project affects the whole service system, and the population served by the system (approximately 137,967) is therefore served by the project.

16. Borrower department and division name:

- 1) City of Gresham
Department of Environmental Services
- 2) RWPUD
Business and Administrative Office

17. Business street address:

- 1) City of Gresham
1333 NW Eastman Parkway
Gresham, OR 97030
- 2) RWPUD
19601 NE Halsey Street
Portland, OR 97230

18. Mailing street address (if different from above):

Same as above

19. Employer/taxpayer identification number (EIN/TIN):

- 1) The City
93-6002176
- 2) RWPUD
93-1031409

20. Dun and Bradstreet Data Universal Number System (DUNS) number:

- 1) The City
037079860
- 2) RWPUD
045454816

21. National Pollutant Discharge Elimination System (NPDES) and/ or Public Water System (PWS) number (if applicable):

The CGD project only affects drinking water. The PWS numbers are included below.

- 1) The City
PWS 41-00357



-
- 2) RWPUD
PWS 41-00668

22. If the prospective borrower is not a public entity, is the project(s) publicly sponsored? Please explain.

Not applicable



Section B: Engineering & Credit

1. Provide a technical report such as a preliminary design/engineering report or planning document for each aspect of the project(s). Provide the filename(s) in the textbox.

If no technical reports are available, provide a detailed description of all major project components. Indicate whether the project involves the construction of new facilities or the renovation or replacement of existing ones. Describe each of the project components in terms of dimensions, quantities, capacities, and square footage, etc.

Summary technical reports are available in Appendix B.2., "GDMP", and particularly in section 8. Additionally, the Package 1 30% design documents are available in Appendix B.4.

2. Present the overall project schedule start and end dates for key milestones and costs in the provided tables. For WIFIA loans with one project, fill out Row 1. For WIFIA loans with multiple projects, fill out and create as many rows as needed.

| Project Name | Planning | Design | Construction |
|---------------------------------|----------------------|----------------------|----------------------|
| Cascade Groundwater Development | 7/1/2019 - 9/30/2020 | 7/1/2020 - 10/1/2024 | 4/1/2021 - 6/30/2025 |

3. Choose the proposed lien priority for the WIFIA loan.

☒ Senior Lien

☐ Subordinate Lien: All project debt has the same priority as the WIFIA loan.

☐ Subordinate Lien: Not all project debt has the same priority as the WIFIA loan.
A springing lien will be used in a bankruptcy related event.

4. Provide an existing credit rating that is less than a year old or is actively maintained. If a credit rating is not available, describe how the senior obligations of the project will achieve an investment-grade rating.

1) The City

The City does not have any outstanding publicly offered debt backed by the water revenue security and therefore does not have an existing credit rating on this security. However, the City does have a Long-Term Issuer Rating of "Aa2" from Moody's for its outstanding General Obligation ("GO") related debt (full faith and credit obligations). A copy of the most recent Annual Comment Report is included in Appendix C.1. According to *Moody's US Municipal Utility Revenue Debt Rating Methodology*, "A municipality's GO credit quality may directly affect the strength of its associated utility systems...Municipal utility debt is generally exposed to similar credit strengths and pressures as the GO and can thus expect to



experience simultaneous credit improvement or deterioration...Because of these linkages, in most cases, ratings of a municipality's utility debt will typically be within two notches of its GO rating."

Due to the City's Long-Term Issuer Rating (Aa2), the financial strength presented in the pro forma (see response to question B.5.), and the above reasoning, the City is confident in its ability to achieve an investment grade rating for the WIFIA loan.

2) RWPUD

RWPUD maintains an Aa3 rating with Moody's Investors Service. See Appendix C.2., Moody's Credit Rating.

5. If an existing credit rating is not available, provide a financial pro forma and three years of audited financial statements. Indicate the filenames in the textbox below.

The financial pro forma should include key long-term (at least 10 years, but no greater than the proposed life of the WIFIA loan) revenues, expenses, and debt repayment assumptions for the revenue pledged to repay the WIFIA loan.

The financial pro forma should be provided in an editable Microsoft Excel format, not in PDF or "values" format and include, at a minimum, the following:

- a. Sources of revenue
- b. Operations and maintenance expenses
- c. Dedicated source(s) of repayment
- d. Capital expenditures
- e. Debt service payments
- f. Projected debt service coverage ratios for total existing debt and the WIFIA debt
- g. The project's or system's debt balances broken down by funding sources
- h. Equity distributions, if applicable

If available, include sensitivity projections for pessimistic, base and optimistic cases. A sample financial pro forma is available at <https://www.epa.gov/wifia/wifia-application-materials-and-resources>. Provide the financial pro forma filename in the textbox.

1) The City

Financial Pro Forma 2020-2030 (Appendix C.3.a.: "Base"; C.3.b.: "Optimistic"; and C.3.c.: "Pessimistic"); Comprehensive Audited Financial Report ("CAFR") FY18-19 (C.4.), CAFR FY17-18 (C.5.), CAFR FY16-17 (C.6.).

The Pro Forma are adjusted according to various opportunities and risks.

- a. Base: The "Base" projection assumes WIFIA financing and conservative terms for revenue bonds, WIFIA, and SRF loans. The projection also assumes no operating efficiencies (applied by average daily use) and therefore employs the current operating cost ratio toward a larger system in continuous operation.
- b. Optimistic: The "Optimistic" projection assumes the same terms and loan timeline as the "Base" projection, however assumes operational efficiencies which more accurately reflect the operating cost ratios of regional comparators.



-
- c. Pessimistic: The “Pessimistic” projection accounts for a scenario in which the City is not accepted for WIFIA financing and there are no operational efficiencies.
- 2) RWPUD
Not applicable



6. Provide a sources and uses of funds table for the construction period(s), including the proposed WIFIA loan. For prospective borrowers other than Public Entities, add rows as needed to identify the amount and source(s) of project equity, letters of credit, and other sources of debt as applicable. Note any ineligible project costs. More information about eligible costs is available in the [WIFIA program handbook](#). Indicate the certainty of other sources of funding.

Because the project is intended to support loans for two distinct entities, Sources and Uses are provided for each:

1) The City

| Sources Category | Estimated Dollar Value | Funding Certainty |
|---|------------------------|-------------------|
| 1. WIFIA Loan (cannot exceed 49% of eligible costs) | 30,645,330 | N/A |
| 2. Revenue Bonds | 21,459,180 | Certain |
| 3. SRF Loan | 10,436,979 | Uncertain |
| 4. Borrower Cash | 0 | Choose an item. |
| 5. Previously Incurred Eligible Costs* | 0 | Choose an item. |
| 6. Other (please specify) Click or tap here to enter text. | 0 | Choose an item. |
| TOTAL SOURCES | 62,541,489 | |
| Uses Category | Estimated Cost | |
| 1. Construction | 44,180,571 | |
| 2. Design | 4,776,218 | |
| 3. Planning | 3,184,146 | |
| 4. Land Acquisition | 0 | |
| 5. Other Capital Costs | 0 | |
| 6. Contingency | 0 | |
| 7. Total Capital Costs | 52,140,935 | |
| 8. Financing Costs | 0 | |
| 8. Ineligible Costs (if applicable) | 0 | |
| 9. Other (please specify) Administration | 10,400,554 | |
| 10. Other (please specify) Click or tap here to enter text. | 0 | |
| TOTAL USES | 62,541,489 | |

*Previously Incurred Eligible Costs are project related costs incurred prior to the WIFIA loan's execution. Please see the WIFIA Program Handbook for additional information on Eligible Costs.

For funding sources that are uncertain (excluding the WIFIA Loan), explain alternative funding that could be used if the funding source is not available.



The City is confident in its eligibility and competitiveness for an SRF loan. Were the City not accepted for an SRF loan, it would employ revenue bonds to account for the remainder of the project costs.



2) RWPUD

| Sources Category | Estimated Dollar Value | Funding Certainty |
|--|------------------------|-------------------|
| 1. WIFIA Loan (cannot exceed 49% of eligible costs) | 28,135,800 | N/A |
| 2. Revenue Bonds | 29,284,200 | Certain |
| 3. SRF Loan | 0 | Choose an item. |
| 4. Borrower Cash | 0 | Choose an item. |
| 5. Previously Incurred Eligible Costs* | 0 | Choose an item. |
| 6. Other (please specify) Click or tap here to enter text. | 0 | Choose an item. |
| TOTAL SOURCES | 57,420,000 | |

| Uses Category | Estimated Cost |
|---|-------------------|
| 1. Construction | 45,936,000 |
| 2. Design | 5,167,800 |
| 3. Planning | 3,445,200 |
| 4. Land Acquisition | 0 |
| 5. Other Capital Costs | 0 |
| 6. Contingency | 0 |
| 7. Total Capital Costs | 54,549,000 |
| 8. Financing Costs | 0 |
| 8. Ineligible Costs (if applicable) | 0 |
| 9. Other (please specify) Administration | 2,871,000 |
| 10. Other (please specify) Click or tap here to enter text. | 0 |
| TOTAL USES | 57,420,000 |

*Previously Incurred Eligible Costs are project related costs incurred prior to the WIFIA loan's execution. Please see the WIFIA Program Handbook for additional information on Eligible Costs.

For funding sources that are uncertain (excluding the WIFIA Loan), explain alternative funding that could be used if the funding source is not available.

Not applicable



Section C: Selection Criteria

For each question answered yes, provide a response to explain how the project seeking the WIFIA loan achieves the stated result. When applicable, reference attachments. Responses to these questions will allow the WIFIA program to evaluate the project in relation to the selection criteria outlined in the NOFA. If the Letter of Interest contains multiple projects, include information about any of the projects that would fit the selection criteria. See [Attachment A: Selection Criteria & Scoring](#) for more information.

1. Will the project create construction jobs?

☒ Yes ☐ No

If yes, approximately how many?

100

2. Will the project create post-construction jobs?

☒ Yes ☐ No

If yes, provide approximately how many and explain how this number was calculated.

The project is anticipated to produce three (3) post-construction jobs. This figure reflects the projected operations and maintenance requirements of the infrastructure based on current operations requirements.

3. Will the project support economic growth?

☒ Yes ☐ No

If yes, explain how.

The service area includes numerous commercial and industrial businesses in food manufacturing, semiconductors, and industrial fabrication which are vital to the service area's local and regional economic health. These industries are particularly reliant on costly customer-level water quality controls and disproportionately affected by significant rate effects. The CGD project protects all customer classes, including those in key economic markets, from significant rate effects. Additionally, the CGD project provides consistent and predictable water quality that helps reduce the treatment and quality control burden for the customer. For these critical customers, predictable water quality has benefits for present operations as well as future planning and growth, and therefore offers marketable advantages for the service area for



expansion and new development.

4. Will the project protect drinking water, including source water protection?

☒ Yes ☐ No

If yes, explain how.

The development of a long lasting, viable groundwater resource depends on managing and protecting the resource from contamination both below and above ground. Understanding this important connection, the City and RWPUD implemented the Cascade Wellfield Protection Plan ("the Plan", see Appendix A.5.) in 2009. The Plan is jointly funded by the partners and incorporates standards of operation, institutional requirements, chemical storage and handling protocols, as well as business education regarding legal responsibilities to protect groundwater resources. The Plan expands to incorporate any additional wells in the service area.

5. Will the project support international commerce?

☒ Yes ☐ No

If yes, explain how.

The service area includes many commercial and industrial customers in traded sectors, which include manufacturing, semiconductors, food manufacturing, and distribution. Many of these businesses sell in global markets, and several rely on global supply chains to bring their products to market. These customers will benefit from rate savings and consistent water quality provided by the CGD project as detailed in the response to question C.3.

6. Will the project implement new or innovative technology(ies), such as using renewable or alternate sources of energy, water recycling, or desalination?

☒ Yes ☐ No

If yes, explain how.

The CGD project is intended to fit in the service area's portfolio of public infrastructure that employs innovative approaches to energy reduction and recovery, including solar bays, electric vehicle charging stations to support an electric fleet, and its award winning wastewater treatment plant which has been certified as a net-zero facility for five consecutive years (having recovered more energy than it consumes). The CGD project planning includes a micro-hydro system to generate electricity, a possible solar roof on a reservoir, and incorporates more standard eco-conscious, pacific northwest design features that promote the use of natural light



over electric light. Additionally, the City and RWPUD have engaged with the service area energy provider to coordinate off-peak pumping and connect water system generators to the interruptible power grid for use during a catastrophic power loss event. To support efficient watershed management, the project will use xeriscaping design principles and pervious surfaces at all facilities. With these design features, the project is likely to achieve LEED design status at select infrastructure sites. In addition, project planning includes an early earthquake warning system, which is a critical innovation for the geology of the service area.

7. Will the project use new or innovative approaches to plan, design, manage, and/or implement the project?

☒ Yes ☐ No

If yes, explain how.

The CGD project is based on an innovative partnership between a municipal utility and people's utility district. This is a regionally unique arrangement wherein each entity remains independent and autonomous while partnering by mutual agreement to provide drinking water to all or portions of three separate municipalities. Given the complexity and magnitude of this project, the City and RWPUD will employ innovative design and construction management practices and not rely solely on the classic design-bid-build process. Innovative management practices may include design-build, construction manager-general contractor, and/or alternative-project-delivery such as owner-procurement in order to expedite and streamline the project delivery process.

8. Does the project protect the system, project specific asset, or community from extreme weather events such temperature, storms, floods, or sea level rise expected based on current conditions?

☒ Yes ☐ No

If yes, explain how.

The Bull Run Watershed is vulnerable to wildfires which have increased dramatically over the past 20 years. Additionally, due to wind, waves, and rain, drawn-down reservoirs are subject to high-turbidity events that exceed federal water quality standards. The CGD project mitigates these hazards as a protected groundwater system and adds a regional redundant supply if any of the above hazards are realized.

9. Does the project protect the system, project specific asset, or community from extreme weather events such temperature, storms, floods, or sea level rise predicted to occur in the future?



☒ Yes ☐ No

If yes, explain how.

The independent groundwater system produced by the CGD project offers significant improvement in resiliency against earthquakes through the use of seismic alerts, restrained transmission, and seismically hardened construction techniques for all treatment and storage facilities. See B.2., Section 8, for more design details.

10. Does the project serve a population in a region impacted by significant energy exploration, development, or production areas?

☐ Yes ☒ No

If yes, explain how.

[Click or tap here to enter text.](#)

11. Does the project address water quality concerns?

☒ Yes ☐ No

If yes, explain how.

The CGD project was not directly initiated to address present water quality concerns, however it is substantially influenced by them. The Bull Run Watershed's sampling of cryptosporidium exceeded federal quality standards in 2012 and Portland's variance was revoked in 2017 due to persistent samples. Both the City and RWPUD are aware of Portland's efforts to remediate cryptosporidium, and therefore did not consider water quality as a direct initiator to the CGD project. Rather, the CGD project is a regionally affordable, alternative source of water that remediates cryptosporidium (as a surface water contaminant) by moving to a protected groundwater source.

The CGD project addresses all groundwater primary contaminants as well as certain secondary contaminants to include iron, manganese, and radon. The project includes state-of-the-art groundwater filtration and chlorination. Specifically considered contaminant remediation methods are included in the Water Quality Study (Appendix B.3.) and included in design elements of the GDMP (B.2.).

12. Does the project address water quantity concerns?

☐ Yes ☒ No



If yes, explain how.

Although there is no present water quantity concern, the project contributes a reliable and self-contained regional water source to the region to use in event of emergencies.

13. Is the project identified in an existing regional, state, or multistate agreement?

☒ Yes ☐ No

If yes, attach the relevant document and write in the textbox the filename and relevant section(s) or page(s).

The CGD project is integral to the IGA between the City and RWPUD (see Appendix A.1.). Also, while not explicitly identified, the CGD project also addresses the Regional Water Consortium's objective to promote local connectivity (see Appendix A.7., Chapter 5, pages 53-58).

14. Is the project identified as a municipal, state, or regional priority?

☒ Yes ☐ No

If yes, attach the relevant document and write in the textbox the filename and relevant section(s) or page(s).

The CGD project is a municipal priority, as established by the 2021 City Council Work Plan (see Appendix A.6., City of Gresham Council Work Plan, page 8). It is further priority for both the City council and the RWPUD board, as evidenced by the adoption of the GDMP (see Appendix B.2., Groundwater Development Master Plan) and the IGA (see Appendix A.1.).

15. Does the project protect water resources with exceptional recreational value or ecological importance?

☐ Yes ☒ No

If yes, identify the water resources, why it has exceptional recreational value or ecological importance, and describe how the project protects it.

Click or tap here to enter text.

16. Is the project designed to address an existing compliance issue?

☐ Yes ☒ No



If yes, identify the compliance issues(s). Explain how and to what extent the project addresses it.

Click or tap here to enter text.

17. Is the project designed to maintain compliance?

☒ Yes ☐ No

If yes, identify the potential compliance issue(s). Describe how the project maintains compliance.

The project incorporates treatment to address all primary contaminants and select secondary contaminants, to include iron, manganese, and radon.

18. Does the project serve economically stressed communities or pockets of economically stressed rate payers within otherwise non-economically stressed communities?

☒ Yes ☐ No

18.1. If yes was selected in question C-18, what is the median household income (MHI) within the project's service area? Include the MHI for any economically stressed communities or pockets of economically stressed rate payers within otherwise non-economically stressed communities that have a lower MHI than the entire service area.

According to the Census Bureau's 5-Year ACS Estimate, the MHI in the service area is \$52,303. Residents in Gresham earn less than their proximate regional neighbors. The MHI in Multnomah County (which includes the service area) is \$64,337 (23% more than Gresham alone) and the MHI in the Portland-Metropolitan Area is \$70,724 (35% more).

18.2. If yes was selected in question C-18, what is the poverty level within the project's service area? Include the poverty level for any economically stressed communities or pockets of economically stressed rate payers within otherwise non-economically stressed communities that have a higher poverty level than the entire service area.

The poverty level within the City is 19.2%. In addition, the service area includes economically stressed communities within Portland's city limits whose collective poverty rate is 22.4%. For comparison, Multnomah County, which contains the service area as well as the City of Portland, has a poverty rate of 15.1%.

18.3. If yes was selected in question C-18, provide another value or metric that demonstrates that the project serves economically stressed communities or pockets of economically stressed rate payers within otherwise non-economically stressed communities, if applicable.



The assessment of serving economically stressed communities is based on the MHI and poverty level criteria. No other criterium was considered.

19. Does the project reduce exposure to lead or emerging contaminants within a drinking water system?

☒ Yes ☐ No

If yes, explain how.

The CGD project will employ a free-chlorine disinfection treatment system, which reduces lead exposure by producing superior long-term scale stability. See Appendix B.3., Water Quality Study, page 15, for more detail.

20. WIFIA funding would

- ☐ Delay the project development schedule
☐ Have no impact on the project development schedule
☒ Accelerate the project development schedule
☐ Allow you to implement other high priority projects sooner than anticipated
☐ Be the only funding option that would allow the project to be implemented

21. Will WIFIA financing reduce the contribution of Federal assistance to the project?

☐ Yes ☒ No



Section D: Contact Information

1. Primary point of contact

Name: Mike Whiteley
Title: Water Resources Engineering and CIP Manager
Organization: City of Gresham
Street Address: 1333 NW Eastman Parkway
City/State/Zip: Gresham, Oregon 97030
Phone: (503) 618-2314
E-mail: mike.whiteley@greshamoregon.gov

2. Secondary point of contact

Name: Brian R. Stahl
Title: General Manager
Organization: Rockwood Water People's Utility District
Street Address: 19601 NE Halsey Street
City/State/Zip: Portland, Oregon 97230
Phone: (503) 665-4179
E-mail: bstahl@rwpud.org



Section E: Certifications

Please sign in the appropriate space and submit a scanned version of the signature page to EPA with the electronic Letter of Interest submission.

1. **National Environmental Policy Act:** The prospective borrower acknowledges that any project receiving credit assistance under this program must comply with all provisions of the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and that EPA will not approve a loan for a project until a final agency determination, such as a Categorical Exclusion (CATEX), Finding of No Significant Impact (FONSI), or a Record of Decision (ROD), has been issued.
2. **American Iron and Steel:** The prospective borrower acknowledges that any project receiving credit assistance under this program for the construction, alteration, maintenance, or repair of a project may only use iron and steel products produced in the United States and must comply with all applicable guidance.
3. **Prevailing Wages:** The prospective borrower acknowledges that all laborers and mechanics employed by contractors or subcontractors on projects receiving credit assistance under this program shall be paid wages at rates not less than those prevailing for the same type of work on similar construction in the immediate locality, as determined by the Secretary of Labor, in accordance with sections 3141-3144, 3146, and 3147 of Title 40 (Davis-Bacon wage rules).
4. **Lobbying:** Section 1352 of Title 31, United States Code provides that none of the funds appropriated by any Act of Congress may be expended by a recipient of a contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, or an employee of a Member of Congress in connection with the award or making of a Federal contract, grant, loan, or cooperative agreement or the modification thereof. The EPA interprets this provision to include the use of appropriated funds to influence or attempt to influence the selection for assistance under the WIFIA program.

WIFIA prospective borrowers must file a declaration: (a) with the submission of an application for WIFIA credit assistance; (b) upon receipt of WIFIA credit assistance (unless the information contained in the declaration accompanying the WIFIA application has not materially changed); and (c) at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any declaration previously filed in connection with the WIFIA credit assistance.

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement,



- the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
5. **Debarment:** The undersigned further certifies that it is not currently: 1) debarred or suspended ineligible from participating in any Federal program; 2) formally proposed for debarment, with a final determination still pending; or 3) indicted, convicted, or had a civil judgment rendered against it for any of the offenses listed in the Regulations Governing Debarment and Suspension (Governmentwide Nonprocurement Debarment and Suspension Regulations: 2 C.F.R. Part 180 and Part 1532).
6. **Default/Delinquency:** The undersigned further certifies that neither it nor any of its subsidiaries or affiliates are currently in default or delinquent on any debt or loans provided or guaranteed by the Federal Government.
7. **Other Federal Requirements:** The prospective borrower acknowledges that it must comply with all other federal statutes and regulations, as applicable. A non-exhaustive list of federal cross-cutting statutes and regulations can be found at <https://www.epa.gov/wifia/wifia-federal-compliance-requirements>.
8. **Signature:** By submitting this letter of interest, the undersigned certifies that the facts stated and the certifications and representations made in this letter of interest are true, to the best of the prospective borrower's knowledge and belief after due inquiry, and that the prospective borrower has not omitted any material facts. The undersigned is an authorized representative of the prospective borrower.

Signature: 

Date Signed: 10/13/2020

Name: Mike Whiteley
Title: Water Resources Engineering and CIP Manager
Organization: City of Gresham
Street Address: 1333 NW Eastman Parkway
City/State/Zip: Gresham, Oregon 97030
Phone: (503) 618-2314
E-mail: mike.whiteley@greshamoregon.gov



US Environmental Protection Agency
WIFIA Program
Letter of Interest

OMB Control No. 2040-0292
EPA Form No. 6100-030
Approval expires 4/24/2023

Signature: Brian Stahl

Date Signed: 10/13/2020

Name: Brian Stahl
Title: General Manager
Organization: Rockwood Water Peoples' Utility District
Street Address: 19601 NE Halsey Street
City/State/Zip: Portland, Oregon 97230
Phone: (503) 665-4179
E-mail: bstahl@rwpud.org



Section F: Notification of State Infrastructure Financing Authority

Please sign in the appropriate space and submit a scanned version of the signature page to EPA with the electronic Letter of Interest submission.

By submitting this letter of interest, the undersigned acknowledges that EPA will (1) notify the appropriate State infrastructure financing authority in the State in which the project is located that the prospective borrower submitted this letter of interest; and (2) provide the submitted letter of interest and all source documents to that State infrastructure financing authority.

Prospective borrowers that **do not want their letter of interest and source documents shared with the State infrastructure financing authority** in the state in which the project is located may opt out by initialing here _____.

If a prospective borrower opts out of sharing a letter of interest, EPA will still notify the State infrastructure financing authority within 30 days of receiving a letter of interest.

Signature: _____

Name: Mike Whiteley

Brian Stahl

Date Signed: 10/13/2020



KEY DEFINITIONS

- (a) *Administrator* means the Administrator of EPA.
- (b) *Credit assistance* means a secured loan or loan guarantee under WIFIA.
- (c) *Eligible project costs* mean amounts, substantially all of which are paid by, or for the account of, an obligor in connection with a project, including the cost of:
- (1) Development-phase activities, including planning, feasibility analysis (including any related analysis necessary to carry out an eligible project), revenue forecasting, environmental review, permitting, preliminary engineering and design work, and other preconstruction activities;
 - (2) Construction, reconstruction, rehabilitation, and replacement activities;
 - (3) The acquisition of real property or an interest in real property (including water rights, land relating to the project, and improvements to land), environmental mitigation (including acquisitions pursuant to section 33 U.S.C. §3905(7)), construction contingencies, and acquisition of equipment; and
 - (4) Capitalized interest necessary to meet market requirements, reasonably required reserve funds, capital issuance expenses, and other carrying costs during construction.
- (d) *Iron and steel products* means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and construction materials.
- (e) *Project* means:
- (1) Any project for flood damage reduction, hurricane and storm damage reduction, environmental restoration, coastal or inland harbor navigation improvement, or inland and intracoastal waterways navigation improvement that the Secretary determines is technically sound, economically justified, and environmentally acceptable, including—
 - (A) a project to reduce flood damage;
 - (B) a project to restore aquatic ecosystems;
 - (C) a project to improve the inland and intracoastal waterways navigation system of the United States; and
 - (D) a project to improve navigation of a coastal or inland harbor of the United States, including channel deepening and construction of associated general navigation features.
 - (2) 1 or more activities that are eligible for assistance [under section 1383\(c\) of this title](#), notwithstanding the public ownership requirement under paragraph (1) of that subsection.
 - (3) 1 or more activities described in section [300j-12\(a\)\(2\) of title 42](#).



-
- (4) A project for enhanced energy efficiency in the operation of a public water system or a publicly owned treatment works.
 - (5) A project for repair, rehabilitation, or replacement of a treatment works, community water system, or aging water distribution or waste collection facility (including a facility that serves a population or community of an Indian reservation).
 - (6) A brackish or sea water desalination project, including chloride control, a managed aquifer recharge project, a water recycling project, or a project to provide alternative water supplies to reduce aquifer depletion.
 - (7) A project to prevent, reduce, or mitigate the effects of drought, including projects that enhance the resilience of drought-stricken watersheds.
 - (8) Acquisition of real property or an interest in real property—
 - (A) if the acquisition is integral to a project described in paragraphs (1) through (6); or
 - (B) pursuant to an existing plan that, in the judgment of the Administrator or the Secretary, as applicable, would mitigate the environmental impacts of water resources infrastructure projects otherwise eligible for assistance under this section.
 - (9) A combination of projects, each of which is eligible under paragraph (2) or (3), for which a State infrastructure financing authority submits to the Administrator a single application.
 - (10) A combination of projects secured by a common security pledge, each of which is eligible under paragraph (1), (2), (3), (4), (5), (6), (7), or (8), for which an eligible entity, or a combination of eligible entities, submits a single application.
- (f) *Public entity* means:
- (1) a Federal, State, or local Governmental entity, agency, or instrumentality; or
 - (2) a Tribal Government or consortium of Tribal Governments.
- (g) *Publicly sponsored* means the obligor can demonstrate, to the satisfaction of the Administrator that it has consulted with the affected State, local or Tribal Government in which the project is located, or is otherwise affected by the project, and that such government supports the proposed project. Support can be shown by a certified letter signed by the approving municipal department or similar agency, mayor or other similar designated authority, local ordinance, or any other means by which local government approval can be evidenced.
- (h) *State infrastructure financing authority* means the State entity established or designated by the Governor of a State to receive a capitalization grant provided by, or otherwise carry out the requirements of, title VI of the Federal Water Pollution Control Act (33 U.S.C. 1381 et. seq.) or section 1452 of the Safe Drinking Water Act (42 U.S.C. 300j–12).
- (i) *Treatment works* has the meaning given the term in section 212 of the Federal Water Pollution Control Act (33 U.S.C. 1292).
- (j) *WIFIA* means the Water Infrastructure Finance and Innovation Act of 2014, Pub. L. 113-121, 128 Stat, 1332, codified at 33 U.S.C. §§ 3901-3914.



Attachment A: Selection Criteria & Scoring

| Project Readiness Criteria | Points |
|--|------------|
| Readiness to proceed | 50 |
| Preliminary engineering feasibility analysis | 30 |
| New or innovative approaches | 20 |
| Borrower Creditworthiness Criteria | Points |
| Enables project to proceed earlier | 10 |
| Financing plan | 10 |
| Reduction of Federal assistance | 10 |
| Required budget authority | 10 |
| Preliminary creditworthiness assessment | 60 |
| Project Impact Criteria | Points |
| National or regional significance | 15 |
| Protection against extreme weather events | 5 |
| Serves energy exploration or production areas | 5 |
| Serves regions with water resource challenges | 10 |
| Addresses identified priorities | 10 |
| Repair, rehabilitation, or replacement | 25 |
| Economically stressed communities | 10 |
| Reduces exposure to lead & emergent contaminants | 20 |
| Total Points | 300 |

Appendix

A Governance & Legal Documents

- A.1. Intergovernmental Agreement
- A.2. Council Meeting Minutes (Gresham), June 16, 2020
- A.3. Capital Improvement Plan (Gresham)
- A.4. Board Meeting Minutes (RWPUD), February 26, 2020
- A.5. Capital Improvement Plan (RWPUD)
- A.6. Council Work Plan 2020-2021 (Gresham)
- A.7. Regional Water Providers Consortium Water Supply Plan

B Engineering and Technical Documents

- B.1. Executive Summary
- B.2. Groundwater Development Master Plan
- B.3. Project Costs & Schedule
- B.4. Confluence Engineering Water Quality Study (Gresham)

C Finance Reporting and Rating

- C.1. Moody's Annual Comment Report (Gresham)
- C.2. Moody's Credit Rating (RWPUD), August 21, 2020
- C.3. Financial Pro Forma 2020-2030 (Gresham)
- C.4. CAFR 2016-2017 (Gresham)
- C.5. CAFR 2017-2018 (Gresham)
- C.6. CAFR 2018-2019 (Gresham)